RESEARCH REPORT

Increasing ethnic differences in mortality in Estonia after the collapse of the Soviet Union

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Study objective: This study examined the change in ethnic differences in mortality in Estonia 1989–2000. **Design:** Two unlinked cross sectional census based analyses were compared. Total and cause specific mortality was analysed for ethnic Estonians and Russians. The absolute differences in mortality were evaluated through life expectancy at birth and age standardised mortality rates. Relative differences were assessed by mortality rate ratios with 95% confidence intervals, calculated using Poisson regression.

Setting: Estonia before and after the fall of the Soviet Union.

Participants: Individual cause specific death data for 1987–1990 (69 549 deaths) and for 1999–2000 (33 809 deaths) came from the national mortality database. Population denominators came from the population censuses of 1989 and 2000.

Main results: In the period 1989–2000, ethnic differences in life expectancy increased from 0.4 years to 6.1 years among men and from 0.6 years to 3.5 years among women. In 2000, Russians had a higher mortality than Estonians in all age groups and for almost all selected causes of death. The largest differences were found for some alcohol related causes of death especially in 2000.

Conclusions: Political and economic upheaval, increasing poverty, and alcohol consumption can be considered the main underlying causes of the widening ethnic mortality gap.

Ethnicity has increasingly been recognised as an important concept in epidemiological studies of disease risk.^{1–3} The health profile of an ethnic group is probably determined by its cultural traditions, its social and economic standing and power compared with other ethnic groups in the same society, and by the life history of its individual members, some of whom have migrated between countries and societies.^{4–8}

Rapid societal changes, such as in Eastern Europe in the early 1990s, were accompanied by a sharp rises in mortality rates.⁹ There is some evidence that this rise did not affect all socioeconomic groups equally,^{10–11} but the effect of economic, political, and social transformation on ethnic differences in mortality is largely unknown.

In 2000, ethnic Estonians formed 68% of Estonia's 1.4 million population (61% in 1989). Russians comprised the second biggest ethnic group (26%), followed by Ukrainians, Belorussians, and Finns.¹² Between 1918 and 1940, during independence, ethnic Estonians constituted almost 90% of the population.¹³ In 1940 Estonia lost its political and economic autonomy for half a century. More than one quarter of its population was lost as a result of emigration, political terror, war, and mass deportations.¹⁴ Massive immigration followed from 1945, mainly from European Russia.

Estonian independence in 1991 gave important changes in political, economic, and social realities, including more far reaching market reforms than in other transition economies.¹⁵ The collapse of the old system was accompanied by an overall fall in production and a sharp decline in living standards: total employment decreased by one fifth, GDP by nearly 40%. By 1994/1995 the socioeconomic and political situation had started to stabilise. These processes affected ethnic Estonians and Russians in Estonia differently. Russians, the major nation in the Soviet Union, found themselves for the first time in a political minority. In addition, they were worse affected by economic restructuring.

Like other former communist countries, in Estonia life expectancy improved little or not at all from the 1960s. Life expectancy had been somewhat higher for ethnic Estonians than for non-Estonians, although the second group caught up (men only) by the end of the 1980s.¹⁶ Similarly, in Latvia where Russians also comprise a notable proportion of the population their life expectancy is lower than that of ethnic Latvians.¹⁷ At the beginning of the 1990s Estonia experienced an unprecedented rise in mortality; preliminary data from the Statistical Office indicated that the fall in life expectancy was larger for the non-Estonian population.¹⁸ However, from 1995, life expectancy started to rise again.

We aimed to study ethnic differences in cause specific mortality in Estonia, and, in particular, to compare the situation before and after the political, economic, and social upheaval of the 1990s.

METHODS

Two unlinked census based mortality analyses were performed. Individual cause specific death data for 1987–1990 (69 549 deaths) and 1999–2000 (33 809 deaths) came from the national mortality database. Population denominators came from the population censuses of 1989 and 2000. Total mortality and selected causes of death were analysed for Estonians and Russians. During the Soviet period, ethnicity was registered in a person's passport and referred to the mother's ethnicity. Since 1992 Estonian passports no longer record ethnicity, and the 2000 classification refers to self determined ethnic identity.

For 1987–1990 the abridged Soviet version of ICD-9 was used; a correspondence table was then used to reclassify the causes of death into ICD-9.¹⁹ For 1999–2000 the ICD-10 was used. Table 1 presents corresponding categories of ICD-9 and ICD-10 for the selected causes of death. The absolute differences in mortality were evaluated through average life expectancy at birth and age standardised mortality rates (European standard population). The relative differences between Estonians and Russians were assessed by age

Table 1 Correspondence of selected causes of death in 1987–1990 (ICD-9) and in 1999–2000 (ICD-10)

Cause of death	1987–1990 ICD-9	1999–2000 ICD-10
Infectious diseases	001–139	A00–B99
Stomach cancer	151	C16
Lung cancer	162	C33–C34
Breast cancer	174–175	C50
Ischaemic heart disease	410–414	I20–I25
Cerebrovascular disease	430–438	I60–I69
Influenza and pneumonia	487; 480–486	J10–J18
Chronic respiratory disease	490–494; 496	J40–J47
Alcoholic liver cirrhosis	571.0–571.3	K70
Transport accidents	E800–E848	V01–V99
Alcohol poisoning	E860	X45
Suicide	E950–E959	X60–X84
Homicide	E960–E978	X85–Y09, Y35, Y36

adjusted (five year groups) mortality rate ratios with 95% confidence intervals, using Poisson regression.

RESULTS

In 1989, ethnic differences in total mortality for all ages combined were small and statistically not significant (table 2). However, in the youngest age group Russians had significantly lower mortality than Estonians. This advantage decreased with each successive age group. From age 40–54 (that is, those born before 1949), Russians had higher mortality than Estonians. Cause specific data show a specific pattern (table 3). Russians had significantly higher mortality from stomach and lung cancer, chronic respiratory diseases, alcohol poisoning, and homicide, and significantly lower mortality from infectious diseases, ischaemic heart diseases (IHD) (men only), and transport accidents (men only).

By 2000, the ethnic differences in all cause mortality had changed remarkably. Total mortality for all ages combined had fallen 4% among Estonian men and 11% among Estonian women. Among Russians the mortality rate had increased by 24% for men and by 1% for women (calculated from table 2). Russians had a higher mortality than Estonians in all age groups. Relative mortality differences had increased sharpest among those aged 25–39. As a result, in the period 1989–2000, Estonians' advantage in life expectancy increased from 0.4 years to 6.1 years among men and from 0.6 years to 3.5 years among women (fig 1).

Russians had higher mortality than ethnic Estonians for almost all causes of death in 2000 (table 3). Except for stomach and lung cancer, chronic respiratory diseases, and transport accidents, the mortality trends had been invariably less favourable for Russians. For IHD mortality the ethnic pattern among men was reversed as a result of decreasing mortality among Estonians and slightly increasing mortality

among Russians. In 2000, the relative ethnic differences were largest for alcoholic liver cirrhosis, alcohol poisoning, homicide, and influenza and pneumonia.

DISCUSSION

Political and economic restructuring in Estonia in the early 1990s changed fundamentally the societal position of its two main ethnic groups. It seems that these societal changes had large, but very different, effects on their mortality. The several causes of death involved in the widening of the Estonian-Russian mortality gap suggest a diversity of causal mechanisms.

Methodological considerations

In unlinked, census based mortality analysis the results may be biased if the mortality database and the census database do not correspond. For 1987–1990 the ethnicity on the deceased's passport was used on death certificates, whereas on census records ethnicity was self reported. As other Russian speaking ethnic groups such as Ukrainians and Belorussians could more easily report themselves at census as being Russian,¹³ the mortality estimates for Russians for 1987–1990 could be slightly underestimated. The number of cases where ethnicity was not registered had increased in 1999–2000 to 0.6% of all census records and 1.2% of all deaths. The latter concerns mostly unidentified persons who had died from external causes. Violent deaths were more common among Russians, and their mortality rate may therefore also be slightly underestimated for 1999–2000. According to the Estonian Statistical Office, census non-response was not overwhelmingly prevalent among any specific ethnic group. On balance, a strong numerator/denominator bias in trend estimates is unlikely.

For some causes of death, small numbers make mortality estimates prone to large random fluctuations. Change from ICD-9 to ICD-10 may have affected cause specific mortality trends, but such a bias is unlikely to have affected different ethnic groups in different ways.

In Estonia, 93% of Russians but only 56% of ethnic Estonians live in the cities.¹² As the mortality in rural areas is higher than in the cities,²⁰ the control for residence (which we could not carry out) would most probably increase the mortality difference between Estonians and Russians, which would support our main conclusion.

From 1989 to 2000 the Russian population in Estonia decreased by 26%.¹² The decrease was greatest in age groups 0–9, largely reflecting sharply diminished birth rates, and in age groups 25–39, mostly because of re-migration.²¹ It is probable that migration was higher among graduates, which partly explains the decrease in the proportion of university

Figure 1 Average life expectancy at birth by ethnicity in Estonia.

Table 2 Age standardised* mortality rates per 100 000 and mortality rate ratios for different age groups by ethnicity in Estonia from 1989 to 2000

	Men					Women				
	Mortality rates			Mortality rate ratios (95% CI)		Mortality rates			Mortality rate ratios (95% CI)	
	1989	2000	Change from 1989 to 2000	1989	2000	1989	2000	Change from 1989 to 2000	1989	2000
All ages										
Estonians	1489.7	1431.9	-57.9	1.00		837.5	744.6	-92.9	1.00	1.00
Russians	1546.2	1921.9	+375.7	0.98	(0.96 to 1.01)	877.4	888.2	+10.8	0.97	(0.95 to 1.00)
										(1.06 to 1.14)
0-14										
Estonians	198.2	105.0	-93.3	1.00		138.9	87.1	-51.9	1.00	1.00
Russians	162.2	161.4	-0.8	0.82	(0.72 to 0.92)	114.2	108.5	-5.7	0.82	(0.71 to 0.95)
										(0.83 to 1.70)
15-24										
Estonians	172.2	149.6	-22.6	1.00		64.7	45.4	-19.3	1.00	1.00
Russians	166.4	270.3	+104.0	0.96	(0.82 to 1.13)	56.6	54.8	-1.8	0.88	(0.66 to 1.17)
										(0.78 to 1.90)
25-39										
Estonians	331.7	296.3	-35.4	1.00		103.2	93.3	-9.9	1.00	1.00
Russians	316.0	600.2	+284.2	0.95	(0.87 to 1.04)	89.4	139.7	+50.3	0.87	(0.74 to 1.02)
										(1.18 to 1.91)
40-54										
Estonians	975.4	1069.8	+94.4	1.00		337.8	335.6	-2.2	1.00	1.00
Russians	1015.4	1658.0	+642.5	1.04	(0.98 to 1.10)	343.3	559.0	+215.7	1.02	(0.92 to 1.12)
										(1.49 to 1.88)
55-69										
Estonians	2953.6	2965.2	+11.5	1.00		1243.9	1094.3	-149.6	1.00	1.00
Russians	3245.2	4169.1	+923.9	1.10	(1.05 to 1.15)	1433.4	1544.6	+111.1	1.15	(1.10 to 1.21)
										(1.30 to 1.50)
70+										
Estonians	10758.9	9664.4	-1094.5	1.00		7616.6	6453.1	-1163.5	1.00	1.00
Russians	11348.2	11682.0	+333.8	1.08	(1.03 to 1.13)	8278.6	7680.5	-598.1	1.09	(1.06 to 1.13)
										(1.15 to 1.25)

*European standard population.

Table 3 Age standardised* mortality rates per 100 000 and mortality rate ratios for selected causes of death by ethnicity in Estonia from 1989 to 2000, all ages combined

	Men					Women				
	Mortality rates			Mortality rate ratios (95% CI)		Mortality rates			Mortality rate ratios (95% CI)	
	1989	2000	Change from 1989 to 2000	1989	2000	1989	2000	Change from 1989 to 2000	1989	2000
Infectious diseases										
Estonians	14.6	17.6	+2.9	1.00	1.00	4.6	4.7	+0.1	1.00	1.00
Russians	10.8	20.0	+9.2	0.67 (0.51 to 0.87)	1.24	3.2	7.0	+3.8	0.66 (0.44 to 0.98)	1.61 (1.03 to 2.53)
Stomach cancer										
Estonians	37.2	30.6	-6.7	1.00	1.00	17.8	13.8	-4.0	1.00	1.00
Russians	65.7	48.7	-17.0	1.86 (1.62 to 2.13)	1.66	27.9	21.0	-6.8	1.64 (1.41 to 1.90)	1.62 (1.29 to 2.04)
Lung cancer										
Estonians	84.6	85.0	+0.3	1.00	1.00	10.4	10.6	+0.2	1.00	1.00
Russians	120.8	107.3	-13.4	1.58 (1.44 to 1.74)	1.27	13.0	11.0	-1.9	1.41 (1.14 to 1.73)	1.07 (0.79 to 1.44)
Breast cancer										
Estonians	-	-	-	-	-	20.9	26.5	+5.6	1.00	1.00
Russians	-	-	-	-	-	20.8	30.6	+9.9	1.09 (0.93 to 1.29)	1.20 (0.99 to 1.46)
Ischaemic heart disease										
Estonians	572.1	434.3	-137.8	1.00	1.00	330.9	250.1	-80.8	1.00	1.00
Russians	531.2	555.8	+24.6	0.93 (0.88 to 0.97)	1.31	330.1	267.9	-62.3	0.98 (0.95 to 1.02)	1.03 (0.97 to 1.09)
Cerebrovascular disease										
Estonians	226.5	184.4	-42.1	1.00	1.00	187.8	139.1	-48.7	1.00	1.00
Russians	222.0	221.7	-0.3	1.00 (0.93 to 1.07)	1.24	200.1	156.1	-44.0	1.05 (1.00 to 1.11)	1.08 (1.00 to 1.16)
Influenza, pneumonia										
Estonians	10.9	20.7	+9.8	1.00	1.00	3.8	5.8	+2.0	1.00	1.00
Russians	13.2	53.8	+40.6	1.09 (0.84 to 1.41)	2.79	5.4	12.0	+6.5	1.19 (0.85 to 1.66)	1.85 (1.32 to 2.61)
Chronic respiratory disease										
Estonians	26.6	25.3	-1.3	1.00	1.00	8.6	6.7	-1.9	1.00	1.00
Russians	35.6	33.6	-2.0	1.35 (1.13 to 1.63)	1.30	11.0	6.4	-4.6	1.31 (1.04 to 1.65)	0.93 (0.64 to 1.37)
Alcoholic liver cirrhosis										
Estonians	2.2	6.5	+7.3	1.00	1.00	0.3	3.1	+2.8	1.00	1.00
Russians	0.9	19.7	+18.9	0.46 (0.20 to 1.03)	2.23	0.6	7.0	+6.4	2.10 (0.70 to 6.31)	2.64 (1.60 to 4.36)
Transport accidents										
Estonians	41.3	33.1	-8.2	1.00	1.00	11.9	9.1	-2.8	1.00	1.00
Russians	34.9	25.1	-9.9	0.85 (0.74 to 0.97)	0.82	11.7	4.4	-7.3	0.95 (0.76 to 1.18)	0.53 (0.33 to 0.87)
Alcohol poisoning										
Estonians	12.9	21.6	+8.6	1.00	1.00	2.2	4.4	+2.3	1.00	1.00
Russians	23.6	47.4	+23.9	1.98 (1.62 to 2.41)	2.44	5.5	15.8	+10.3	2.96 (1.98 to 4.44)	3.85 (2.62 to 5.66)
Suicide										
Estonians	43.4	47.8	+4.4	1.00	1.00	12.6	8.5	-4.1	1.00	1.00
Russians	40.8	66.6	+25.8	1.00 (0.88 to 1.14)	1.48	11.6	14.0	+2.4	0.95 (0.77 to 1.19)	1.66 (1.22 to 2.26)
Homicide										
Estonians	2.2	4.3	+5.1	1.00	1.00	2.6	3.4	+0.8	1.00	1.00
Russians	16.9	47.0	+30.1	2.02 (1.62 to 2.53)	3.56	5.2	11.2	+6.0	1.96 (1.34 to 2.86)	3.86 (2.45 to 6.08)
*European standard population.										

educated men among young Russians from 1989 to 2000 (table 4). Educational differences in mortality are growing in Estonia,¹⁰ and may contribute to growing ethnic differences in mortality among 25–39 year old men. However, in 1989 (men and women) and in 2000 (men), the average percentage of university educated persons was somewhat higher among Russians than ethnic Estonians.

Therefore, it seems fair to conclude that cause specific mortality differences and the increasing Estonian-Russian mortality gap are neither attributable to bias, nor to confounding from residence, nor largely mediated by education.

Historical context—political and economic restructuring

Protestantism and almost total literacy by the end of the 19th century¹³ had supported a modern development and the gradual implementation of Western democratic values in Estonia between 1918 and 1940. During the Soviet annexation, ethnic Estonians maintained their cultural identity with the West, whereas the immigrant populations, both Russian and non-Russian, were predominantly oriented towards the Soviet Union. The restoration of Estonian statehood deeply touched the identity and values of Russians, who, as former representatives of the major nation in the Soviet Union, lost their social standing. Their adaptation to Estonia's liberal free market reforms was difficult and reluctant. In addition, Estonia adopted a citizenship policy that granted citizenship to the citizens of the pre-occupation republic and their descendants; Soviet era immigrants had to fulfil naturalisation requirements.²² In 2000, only 40% of Russians had Estonian citizenship.¹² As a result, large sections of the non-Estonian population saw themselves as socially excluded.

Most Russians arrived in Estonia during the forced Soviet industrialisation programme. Their working lives were closely linked to large all union industrial enterprises. Their skills were dominated by technical and vocational education, mainly related to this industry.²³ With the fall of the Soviet Union, these enterprises lost their traditional markets. Non-Estonians have had a stable six percentage points higher unemployment rate than Estonians since 1995.²⁴ Inadequate skills in the Estonian language also hindered Russians from returning to employment.¹⁵ Consequently, non-Estonians faced a strong reduction in relative wages compared with Estonians.^{25–26} For the younger generation access to higher education in the Russian language has become more limited. Restitution of properties nationalised by the Soviet regime in 1940 also reallocated economic resources.²⁷

Key points

- Ethnic differences in mortality increased in Estonia from 1989 to 2000.
- In 2000, Russians had higher mortality than Estonians for all age groups and for almost all selected causes of death.
- Political and economic upheaval, increasing poverty, and alcohol consumption can be considered the main underlying causes of the widening mortality gap.

Mediating mechanisms

Poverty

Poverty is likely to affect many causes of death²⁸ through its impact on living conditions, diet, behaviours such as smoking, alcohol drinking, and violence, and psychological factors such as depression. In 1999, 15.6% of non-Estonian households had incomes below the poverty line (60% of median income) whereas the corresponding percentage for households with an Estonian head was 11.3%.²⁴ Sharply increased mortality from infectious diseases from 1989 to 2000 provides evidence of poverty driven causal mechanisms. In 1989, ethnic Estonians had a higher mortality from infectious diseases than Russians, but because of the more rapid mortality increase among Russians during the 1990s, the mortality pattern for infectious diseases was reversed by 2000. The relation between poverty and cardiovascular disease is more unclear.

Alcohol

Alcohol is considered one of the main determinants of the mortality crisis in Russia.²⁹ In 1989, mortality from alcohol poisoning and homicide (the second factor also directly linked to alcohol consumption) was two to three times higher among Russian men and women in Estonia than among ethnic Estonians. The high mortality rate for alcohol poisoning but very low mortality from liver cirrhosis in 1989 indicates that binge drinking rather than the accumulated exposure to alcohol over the years was the problem among Russian men at this time. Survey data show that Russian men are more likely to binge drink (70+ g pure alcohol on one occasion) while Estonian men tend to drink more frequently.³⁰ Binge drinking may increase the risk of cardiovascular mortality,³¹ but in 1989 Russian men were not more likely to die from ischaemic heart disease or stroke than Estonian men.

Table 4 Percentage of population with university education in different age groups by ethnicity in Estonia in 1989 and 2000

	1989		2000	
	Estonians	Russians	Estonians	Russians
Men				
25–39	15.5	17.9	15.1	12.8
40–54	14.9	17.3	18.0	19.1
55–69	10.9	11.3	16.3	16.8
70+	4.8	10.4	11.4	13.4
Total	13.2	16.2	15.9	16.0
Women				
25–39	20.9	19.7	21.3	19.2
40–54	16.9	15.7	22.3	21.4
55–69	7.8	7.9	15.8	14.1
70+	1.6	3.2	5.9	7.4
Total	12.7	14.2	17.1	16.8

Between 1989 and 2000, mortality from alcohol poisoning, alcoholic liver cirrhosis, homicide, influenza and pneumonia increased for Estonians and Russians, but the increase was considerably higher among Russians. This suggests that increasing alcohol consumption 1989–2000 contributed firstly to the poor mortality trend of the 1990s in Estonia, and secondly to the widening mortality gap between Estonians and Russians. Binge drinking may in particular have contributed to the trend of increasing IHD and total mortality among Russian men 1989–2000.

Tobacco

Smoking is the main determinant of lung cancer and chronic respiratory disease mortality and is an important risk factor for cardiovascular mortality.³² In 1989, Russian men and women had much higher lung cancer mortality and chronic respiratory disease mortality than Estonians. From 1989 to 2000 the trends for lung cancer mortality and chronic respiratory disease mortality rates were more favourable for Russians (falling).

In 1996/1997, in the age group 60–79, Russian men had almost two times higher odds for ever having smoked than Estonian men, whereas this difference was smaller and statistically not significant in younger age groups.³⁰ This age specific smoking pattern explains the higher lung cancer and chronic respiratory disease mortality for Russian men and may have contributed to the narrowing mortality gap from these causes in the 1990s. The excess lung cancer mortality among Russian women in 1989 is more difficult to interpret, because the prevalence of those who have “ever smoked” is higher for elderly Estonian women.³⁰ As the smoking prevalence among women in old age is small, the bigger impact of passive smoking among Russian women could contribute to their higher lung cancer mortality. It is unlikely that tobacco contributed to the unfavourable mortality trends for the Russians in the 1990s.

The differential exposure to air pollution from industrial sources could contribute to the different lung cancer rates and trends. Most Russians live in industrial regions that are more exposed to air pollution. In 1990–1998, the air pollution from stationary sources fell by 64% in Estonia,³³ and Russians benefited more from this improvement.

Diet

Poor nutrition is likely to explain part of the East-West cardiovascular mortality divide in Europe.^{31–34} In Estonia, cardiovascular disease mortality and particularly IHD mortality, has been very high: in 1989 IHD mortality in Estonia was twice that in Sweden and 30% higher than in Russia.³⁵ From 1989 to 2000 IHD mortality rates fell by a fifth in Estonia. Data comparing 1984 and 1993 show a major change in diet, measured as lower intake of energy, animal protein, fat, saturated fatty acids, and cholesterol and higher consumption of vegetable protein. This would have helped the overall decline in cardiovascular mortality.³⁶ In the mid-1980s, Estonian men had more “atherogenic” nutrition habits and serum lipoprotein profile than Russian men.³⁷ The higher IHD mortality among Estonian than among Russian men in 1989 could at least partly be related to their poorer dietary habits. During the 1990s, vegetable consumption was higher among Russians, however the increase in consumption over the decade was faster for Estonians,²⁰ thereby contributing to their more rapid fall in cardiovascular mortality.

Other factors

Psychological factors such as depression are suggested as independent risk factors for coronary heart disease and stroke.³⁸ In the mid-1990s, Russians reported more depressive

symptoms than ethnic Estonians (OR = 1.80).³⁹ The increased suicide rate among Russian men indicates that the adaptation to a new social and political order has been particularly difficult for this group of men.

A number of studies have suggested that adverse conditions in early life create vulnerability, and therefore interact with later life conditions.^{40–41} In 1989, 57% of Russians were born outside Estonia.⁴² Russians aged 40 and over had a higher mortality than ethnic Estonians in the same age group. Stomach cancer mortality, suggested to be related to adverse childhood conditions,⁴³ is considerably higher among Russians, probably explained by the lower housing standards in Russia at the time of their birth. Adverse childhood conditions may have influenced ethnic differences in mortality in Estonia by making the Russian population more vulnerable to sudden social changes in the 1990s.

Conclusions

Political and economic restructuring in Estonia has had profound, but different, effects on its two main ethnic groups. This study revealed a remarkable increase in mortality differences between Estonians and Russians. We could not address directly questions of underlying causal pathways. However, we suggest that future researchers should focus on differences in deprivation, on social exclusion, and the response to social change, including diet, alcohol, and other health related behaviours. The successful integration of the Russian population in Estonia could be an important step towards the further improvement of the overall health status in the country.

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