Psychosocial epidemiology

Psychosocial factors and public health

A Singh-Manoux

Another point of view (see page 565)

Macleod and Davey Smith state that the aim of their paper is to critically examine the role of psychosocial factors in health. Unfortunately, what could have been an interesting discussion is compromised by the authors implicit assumption that there is a single pathway linking social position to health. The authors seem to equate parsimonious causal analysis with a narrow, reductionist perspective, subsequently devoting most of their paper to a discussion of “psychosocial versus material explanation”, while ignoring evidence showing multiple pathways linking social position to health.

This commentary widens the debate by considering three issues:

1) PSYCHOSOCIAL VARIABLES: DEFINITION AND THEIR IMPORTANCE TO HEALTH

Psychosocial variables encompass two categories of variables. The first consists of psychological attributes like hostility, depression, hopelessness, etc, which exist at the individual level, and are likely to be a result of the process of socialisation. The second category is more structural in nature, work conditions for example. These two categories work synergistically at the individual level, as can be seen from social support at work, which is a function of both work conditions and personal social interaction skills. Although the authors start out with a similar definition of psychosocial variables, in fact they interpret them rather narrowly as being the way in which “poor people feel about their poverty”. This restrictive view of psychosocial variables negates the importance of the ubiquitous association between social disadvantage and a host of psychosocial variables in the developed world. We still know very little about the mechanisms that create and sustain this link, and when in the lifecourse this link is established.

In considering the importance of psychosocial variables to health Macleod and Davey Smith create a false dichotomy between “objective disease” and “misery”. They themselves acknowledge “misery” to be a legitimate public health issue, particularly in the developed world with increasing life expectancies. It may be important to examine the links between “misery” and lifestyle in light of the World Health Organisation claim that “lifestyle-related diseases and conditions are responsible for 70-80% of deaths in developed countries”. The authors also discuss the part played by “reporting bias” (people who report feeling miserable also report being ill) in explaining the association between psychosocial exposure and illness. However, one feels that this is a diversion as the authors go on to cite evidence showing psychosocial exposures to be associated with “objective” health outcomes.

2) SOCIAL STRUCTURE AND HEALTH: ARE PSYCHOSOCIAL VARIABLES ON THE PATHWAY?

The relative importance of different pathways linking social position to health can only be assessed if these pathways are modelled simultaneously, something that has not yet been attempted. The causal sequence would be A (social position) leading to X (various pathways: social, cultural, psychological, and economic) that in turn leads to B (ill health). The authors accept the existence of this causal chain: both the link between A and psychosocial-X, and that between psychosocial-X and health. Attempts to assess the impact of the psychosocial pathway, or any other pathway, on health needs to be carried out within this sequential causal framework. Neglect of temporal order by treating psychosocial variables as another subset of factors along with measures of social position in multiple regression type analysis has been shown to systematically underestimate their role in disease aetiology. It is therefore necessary to envisage new ways of examining the links between social structure and health.

Figure 1 shows a simple example of the way in which the relative importance of different pathways linking social structure to health could be modelled. This causal model respects the sequential relation between the variables, paying heed to the importance of distinguishing between proximal and distal variables in a causal chain. Structural equation modelling (SEM) would allow the relative size of each of these pathways to be assessed. SEM has the added advantage of allowing latent constructs to be modelled, enabling a comprehensive assessment of all variables in the model. There are some recent examples of SEM and alternative approaches to modelling pathways in the literature, demonstrating the way in which complex analytical techniques can be used to answer complex questions.

To assess the “independent effect” of psychosocial variables, Macleod and Davey Smith put their faith in the counterfactual model of causation. The basis of establishing causality here is the probability of disease in the exposed group that would have occurred had they not been exposed. As random assignment of psychosocial variables is not feasible, the authors recommend an examination of the impact of psychosocial interventions. However, psychosocial intervention studies are unlikely to shed any light on the importance of psychosocial variables. This is primarily because the counterfactual contrast being set up is meaningless if social structure is inextricably associated with psychosocial variables. Let us take the example of a “psychosocial intervention” set up to improve social support at work for the socially disadvantaged group. This would involve achieving a minimum of two things: fundamental changes in the structure of work, and instant learning of appropriate
We are sorry that Dr Singh-Manoux felt our discussion was not as interesting as it could have been, and while we recognise that this is necessarily true, her rejoinder leaves us unclear as to why she feels this way. Most of the points she raises in her commentary relate to areas we discussed in some detail.

Dr Singh-Manoux accuses us of “ignoring evidence showing multiple pathways linking social position to health”. On the contrary, we did exactly the opposite. In our view there are multiple but specific pathways between social position and health outcomes, as we have discussed in depth elsewhere. Examples include childhood living conditions that predispose to *Helicobacter pylori* acquisition and (many decades later) adult stomach cancer risk. The current social patterning of adult stomach cancer risk is thus the outcome of material processes acting in the early years of life. Conversely an adult income that allows the purchase of airline tickets to sunny places, thus increasing the risk of melanoma or death in plane crashes, explains why these two causes of death often show a strong positive social gradient. While recognising the fact that psychosocial experiences reflect events in the external world impacting on the micro-processes of brains of individuals, we will refer to “material” causes in this response as those aspects of the world that will influence health independent of the psychological response they engender.

Recognition of these (and many other) specific pathways is explicitly opposed to the “general susceptibility” theories that underlie much of the psychosocial discourse; it is in the psychosocial literature that one reads how psychoneuroendocrine pathways mediate between the external psychosocial environment and nearly every health problem imaginable. In our paper we acknowledge that many factors (including psychosocial factors) are associated with social position, and hence potentially with health, as health is socially patterned. Our central concern, as public health scientists, should be to establish which of these associations are causal, rather than merely correlational. We need to make this distinction because non-causal associations will not form the basis for effective interventions to improve population health and reduce health inequalities. We have made no a priori implicit assumption that only material pathways link social position to health, and have only argued that the evidence should be examined critically. But we make no apology for continuing to emphasise the probable key role for material factors. Across all the different classification schemes what, fundamentally, defines differences in social position? We suggest, differences in the power to access material assets and, linked to this, the power to make healthy choices. Wealth is required to convert knowledge to health.

However, as we clearly stated, the main purpose of our paper was not to consider the evidence for a material causal hypothesis in relation to social health inequalities. Rather it was to consider the evidence for the psychosocial causal hypothesis. Most of this evidence is observational and is therefore subject to considerable problems of interpretation. One of these is reporting...
bias. It is interesting that Dr Singh-Manoux feels that this is a “diversion”. In fact, much of the evidence in this area of research, such as that from the Whitehall II study, has been based on links between psychosocial factors and physical health outcomes assessed from self-reports. A comparison of these relations with those involving the few objective physical health measures thus far reported from Whitehall shows the latter to be considerably weaker. 

We reproduce these data in Table 1, alongside our own from the West of Scotland Collaborative Study—which provide clear evidence of reporting bias—to allow readers to make their own assessment. Other than as a reflection of reporting bias, how should we explain these findings?

Perhaps even more important, is the issue of confounding—are psychosocial exposures themselves health damaging or are they merely markers for other factors that are causally related to physical health? As Dr Singh-Manoux notes, there currently appears an almost ubiquitous association between general social disadvantage and a host of psychosocial variables in the developed world. She then seems to chastise us for our neglect of the question as to why such factors may be linked to social position. Are we the only readers of the *JECH* who feel that it is scarcely mysterious that a lifetime of social disadvantage and disenfranchisement may be associated with negative feelings in the individual experiencing such hardship? However, simply because the basis of the relation between disadvantage and bad feeling is self-evident it does not follow that bad feeling self-evidently causes objective physical disease. Bad feelings are clearly a bad thing, but they may not be on the pathway between social disadvantage and objective physical disease as Dr Singh-Manoux claims.

Dr Singh-Manoux then raises the issue of the behavioural or “lifestyle” pathway between negative feelings and poorer health. We are far more accustomed to hearing the argument that neuroendocrine pathways represent the main mechanism by which psychosocial factors “get under the skin”. Social gradients in heart disease in Whitehall were, after all, equally apparent among lifelong non-smokers. Furthermore, adjustment for lifestyle measures only with poorer health.

In our paper we discuss general strategies for drawing causal inference in health science. We are happy to agree with Dr Singh-Manoux that, when prospective observational data are all that are available, there may well be a place for greater use of the graphical approaches, including structural equation modelling, that she suggests. However we reiterate our points regarding the limitations of analytical sophistication in resolving these issues, as exemplified by the recent cases of antioxidant vitamins and hormone replacement therapy, where strong observational evidence of protective effects against heart disease has been overturned by randomised controlled trial evidence. We disagree with Dr Singh-Manoux’s dismissal of the role of experimental studies in this regard, and with her interpretation of the work of Weitkunat and Wildner, who basically develop the ongoing argument as to whether it is appropriate to adjust for covariates that may be causal intermediates—rather than confounders—in statistical models. They show that such adjustment will tend to accentuate apparent effects of factors more proximal to the outcome. In other words in the case of psychosocial factors that may mediate the relation between social position and health adjustment will tend to lead to the psychosocial measure appearing to have an effect “independent” of that of the more distal (and perhaps determining) social position measure. Psychosocial exposures are amenable to experimental manipulation. If they weren’t how could they form the basis for useful health interventions? Experiment remains the most powerful means of reducing the risk of being misled by confounding and selection bias (with “Mendelian randomisation”—in essence a natural experiment—a close second). We doubt that Dr Singh-Manoux is really suggesting that we abandon randomised controlled trials in favour of observational studies analysed using structural

<table>
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<tr>
<th>Outcome type</th>
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<th>Effects in Whitehall II Study</th>
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<tr>
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<td></td>
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<tr>
<td>High exposure</td>
<td>2.66 [1.61 to 4.41]</td>
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<tr>
<td>Fully objective **</td>
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<tr>
<td>High exposure</td>
<td>0.67 [0.36 to 1.26]</td>
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*Rose angina in both studies; †ECG abnormalities (Minnesota coding system) in both studies. All estimates adjusted for age, social position, and cardiovascular risk factors other than § (only unadjusted estimates were reported in the paper).

Figure 1. Income inequality (Gini) and sex specific, age adjusted, all cause mortality USA, 1968–1998.
equation modelling as an approach to determining causation and the loci for health interventions. It seems unlikely that the methods she proposes, if applied to observational data on, say, hormone replacement therapy and heart disease risk would have led to reaching the right conclusions either.

Dr Singh-Manoux states that, “psychosocial intervention studies are unlikely to shed any light on the importance of psychosocial variables.” We think this is an ill considered assertion based on her idea that changing psychosocial exposure, without changing the social structure that it is imbedded within, will be difficult. This is of course true; in fact we made this very point in our paper and elsewhere.21 But such difficulty notwithstanding, we agree with Kuper, Marmot, and others, that intervention studies are the bullet that psychosocial epidemiology has to bite if it is to influence policy.7-10 Experimental studies in this area will provide better evidence on true causality than observational studies, however cleverly the latter are analysed. More importantly they will tell us how, if at all, these causal relations might lead to effective public health policy.

We did not touch upon population health in our paper, but one of the key issues with respect to viewpoints that see a primary psychosocial determination of health is that it makes little sense in regard to trends in overall population health. Factors such as income inequality (and presumably the feelings associated with it), and indices of “social capital” such as rates of participation in the electoral process have all deteriorated over a period when mortality rates have declined (fig 1).27-31 Of course the contribution of psychosocial factors may differ by particular outcomes and may be complicated by differing time lags between exposure and disease. Nevertheless, perspectives that take into account the life course influences of particular material factors on specific health outcomes are largely congruent with population health trends.14

We argued for the need to critically examine the evidence supporting a causal role for psychosocial exposures on objective disease and raised issues of reporting bias and confounding in that regard. Considering these issues is standard practice in epidemiology, we ask nothing more from the study of psychosocial exposure than is asked in other areas of population science. And to reiterate, the human misery generated by unfair and unequal societies is unquestionably a bad thing. However, whether it is also a significant cause of physical disease seems unclear; clarifying this issue is important because it has implications for how policy might effectively improve peoples’ health in both relative and absolute terms.

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Unemployment and suicide

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How is your work going?

The prevention of suicidal behaviour is still a land of hopes and promises but not of certainties. In fact, Western countries are facing a general decline in suicide rates that seems unrelated to any national plan aimed at obtaining the desired outcomes in those situations that are known to be associated to suicidal behaviour. General improvement in living conditions, better access to care, and more effective treatments of mental disorders are the most probable reasons for the recent decrease in suicide rates in many countries. However, the most recent financial-economic turmoil and the current threatening climate of permanent war will have a foreseeable impact on the standard of living, the consequences of which are still to be evaluated.

Socioeconomic events are known to produce important fluctuations in suicide mortality. Unemployment, in particular, seems related to suicide risk along direct and indirect pathways. Blakely and coworkers’ paper in this issue adds to evidence indicating a causal association between unemployment and suicide. Their results indicate that this association is not attributable to confounding factors linked to the socioeconomic status and that it is only partly related to health selection or mental disorders. Statistical analyses permit the authors to calculate that mental illnesses account for about half of the deaths, however the effect of unemployment cannot be discounted on this basis. In longitudinal studies unemployment prerates symptoms of depression. Moreover, the lack of economic independence as a result of unemployment reduces the possibility of using social and health services appropriately: this may prejudice compliance with, therapeutically prescribed treatments, contributing to a worsening in the course of a mental disorder.

The most disruptive effect of unemployment, however, acts on social ties at both individual and community level. Measures of social fragmentation, indeed, were found to predict the risk of death by suicide and alcohol related diseases.

Socioeconomic variables are likely to contribute to the impact of employment status on suicide. In the USA, the lower the socioeconomic status, the higher the suicide risk. However, unemployment adds independently to suicide risk in both men and women. Other recent studies found that exposure to unemployment is related to suicidal ideation and behaviour, even when taking into account known psychosocial confounding factors and reverse causality. Unemployment, therefore, should be considered a true risk factor for suicide.

To exploit this increased awareness of the role of unemployment in the pathways to suicide, however, we need to infuse a creative effort that may take us a little ahead of common sense.

At a first glance, it would seem that the role of clinicians and researchers in fostering public awareness on the role of social factors in negative psychological outcomes would merely end in supporting public welfare programmes. However, suicide rates were found to increase over time in the states that had reduced their per capita expenditure for public welfare; conversely, states that spend more on public welfare also have lower suicide rates.

This is not, however, the whole story. A closer look at the pathways from unemployment to psychological maladjustment and—hence—to suicide could permit the definition of reasonably practicable strategies aimed at preventing the most negative outcomes.

Job loss usually comprises a whole sequence of stressful events, from anticipation of job loss, to job search, and training for re-employment, when possible. Exclusion from ordinary living patterns, customs, and activities arising from a lack of resources adds independently to the stress caused by job loss, and further increases the risk of depression and subsequent suicide. It is therefore mandatory, whenever a lasting period of unemployment is foreseeable, particularly when middle aged people encounter job loss because of factory closure, to supply a psychological counselling service that may replace the informative, emotional, and material supportive resources diverted by unemployment.

Some pioneering studies found that psychological counselling programmes could prevent the decline in self esteem and mood that generally occurs after being made unemployed. Although such a service might be seen by trade unions as an attempt to counteract naturally occurring workers’ rage, and deprive them of the emotional energies useful to carry on conflicts for employment, as perhaps the poorest protocols provide for, a sympathetically lead programme could permit maintaining an adequate psychosocial functioning and the early identification of the most severe disorders, thus preventing their worst outcomes.

Moreover, as it implies a contraction of a person’s social network and a relevant change in the time structure in daily life, job loss may lead to a reduction in surveillance that, together with the availability of lethal means, is another key element in suicide, particularly among mentally troubled people. An effort to provide families with adequate information on this topic could be implemented through first level health resources—that is, the network of general practitioners.

Unemployment is also a considerable source of social stress leading to increased family tensions, increased isolation from others, and the loss of self esteem and confidence. The loss of employment, indeed, implies the loss of social contact and activity, and often leads to the severing of social ties. A well integrated social network plays an important protective part in maintaining mental health, offering support, guidance and assistance, favouring compliance with medical or psychiatric treatment and offering swift aid in the case of a self destructive act. Again, increasing access to health services and resources might reduce the negative impact of job loss. Multiplying the points of entry to the health network, even using the still unexplored potentiality of the internet, ought to favour access to treatment when necessary.

A different set of explanations, grouped under the “health selection” hypothesis, asserts that poorer health by itself, including poorer mental health, increases the risk of unemployment: thus, having a disorder that implies a higher risk of suicide would also lead to unemployment. Even assuming this explanation, which Blakely and coworkers’ paper seems to discount, providing support and working opportunities to mentally suffering patients would protect them from the risk of suicide. In a 20 year prospective study on a large sample of psychiatric outpatients, unemployment was the most evident social factor that had an impact on suicide risk together with clinical ones, such as suicide ideaion, and major depressive and bipolar disorders. Whenever possible, any effort should be done to keep all the patients with a mental disorder employed.

Paying attention to the immediate health consequences of unemployment also could produce lasting positive effects on public spending. It is interesting to see that growing financial difficulties,
Suicide

Unemployment and suicidal behaviour

D Lester, B Yang

The role of economic policy

In the mid-1980s, Stephen Platt published two reviews of the literature that indicated that unemployment was associated with an increased risk of completed suicide and an increased risk of attempted suicide (sometimes referred to as parasuicide).1,2 As we have pointed out, the association between unemployment and suicidal behaviour seems to be more reliable at the individual level than at the aggregate level.3 For example, in time series studies of 14 nations with available data for the period 1950–1985, Lester and Yang found a positive association between unemployment and completed suicide rates in only 11 nations, and this association was statistically significant in only four nations.4

The article by Tony Blakely and his colleagues in this issue of the journal provides excellent support for the association between unemployment and completed suicide at the individual level.5

which are likely to be linked to rising unemployment rates, are also associated to an increased use of public funded facilities. From 1988 to 1994, for example, the number of patients discharged from US hospitals with a diagnosis of a mental illness increased from 1.4 to 1.9 millions over the whole period.6 In particular, the rate of discharges with a diagnosis of a severe mental illness significantly increased from 196 to 314 per 100 000 of the general population. It seems that the change in mental health care provision that occurred in the USA with the institution of the Medicaid program diverted the most severe patients to the public sector, so that public programmes have increasingly replaced private insurance as the most important source of payment in the USA.

Being creative in counteracting the most negative consequences of unemployment could therefore usefully interface with current active public health programmes, which emphasise costs containment and saving. Any effort will be in vain, however, if the clinicians fail to use the most sensitive instrument they have: the ability to listen to patients and their families’ complaints. Always ask: how is your work going?

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Suicide

Research on unemployment and suicide

A J Kposowa

Problems and consequences

Many capitalist economies are characterised by business cycles with concomitant increases in joblessness during recessions and depressions, and reductions in unemployment in periods of economic expansion. In view of the potentially debilitating consequences of joblessness on health and related outcomes, research on unemployment and suicide continues to be relevant in both epidemiology and sociology. One controversy that continues is the issue of selection bias. The essential question that remains unresolved is whether the observed association between unemployment and suicide reported in some studies reflects direct causation or whether there is some variable that is causally prior to both unemployment and suicide.

The report by Blakely et al presents an analysis of the New Zealand Census Mortality Study (NZCMS) that attempts to shed some light on the above question. Using logistic regression models on census mortality linked data on 1.65 million men and women aged 18 to 64 years, they have observed that unemployment is strongly associated with suicide among women and men in the age group 25–64. At the same time, no significant associations were observed in other age groups. In an effort to support a causal argument, the authors have controlled for the usual socioeconomic variables (education and income), and to convince readers that there is no confounding (selection bias) Blakely et al have also reported results of various sensitivity analyses using information from other studies.

The analysis was competently done, but the study is not without serious limitations. Firstly, the key independent variable in the report, employment status is a time varying covariate, but it is not treated as such in the analysis. Failure to account for multiple occurrences even in a given calendar year can distort results by underestimating or overestimating the consequences of joblessness. In short, imprecision and inaccuracies are introduced into the analysis, and despite confidence intervals the validity of conclusions become suspect. Previous studies suggest that the effect of unemployment on suicide may be more pronounced immediately after job loss. As time progresses the newly unemployed adjust to their novel status and they may be less inclined to commit suicide. Furthermore, with passage of time previously unemployed people may find work and thus vacate the “unemployed” status. Secondly, it is unknown in the analysis when job loss occurred. All that is known is that at some point before census night, cohort members became unemployed, but the timing of unemployment is unknown. There is also no information on whether they had experienced more than one episode of unemployment.

Blakely et al seem to have linked the mortality information to census data in the three years after census night. While this practice may have perhaps reduced the problem regarding the transitional nature of employment status, it did not eliminate it because of the long inter-census period. In the time lag between the current census (the one linked to mortality data) and the prior census, people may have still moved across the three categories of employment status.

In view of the above issues, it is imperative that researchers find ways to accommodate peculiarities associated with time varying covariates in cohort and other longitudinal studies. A significant part of the problem in this and most studies of this type is their dependence on official (government collected) datasets. Censuses are not taken primarily for epidemiological research. In many countries enumeration occurs only once in ten or five years (depending on national mandates). As only one enumeration is done there is no provision for follow up data collection on the same people on a weekly or monthly basis. Even if that were possible, the logistics and accompanying financial costs would be prohibitive. One result of this dependence is that very often information is recorded by the researcher but it is unavailable in administrative (government) statistics. At
Suicide

Unemployment and suicide

E Agerbo

Is the link always causal?

Suicide is more frequent among people who are unemployed. The suicide-unemployment association has been debated since sociologist Emil Durkheim’s classic study over 100 years ago concluded that unemployment increased social isolation, which then raised the risk of suicide. He further concluded that the number of suicides in a society did not have any specific association with the occurrence of mental disorders at the ecological level.

Many studies have suggested that the suicide-unemployment link is causal, or partially caused by a selection process governed by the effect of common unobserved factors, such as mental illness, leading both to unemployment and suicide, or that the link is reverse causal, so that a suicidal behaviour leads to unemployment, or more rarely argued, that there is no indication of unemployment causing suicide. The core problem is that, as Karl Pearson (a founder of modern statistics) said: only correlation and not causation can be estimated from observational data. This viewpoint, however, has recently been relaxed by, for example, Jamie Robins who introduced the concept of counterfactual and by econometricians who for more than 50 years have been using instrumental variables to pseudo-randomise individuals to exposure. Professor Judea Pearl’s new book is a brilliant introduction to these and other techniques used to strengthen causal reasoning.

Blakely and colleagues say that the suicide-unemployment association found in their paper is likely to be causal. They argue that the link is not mediated by financial stress (which by the way carried surprisingly little information in the first place), as the incidence related to unemployment is comparatively unchanged in the adjusted regression, and because the odds of linking suicide were almost the same between the most socioeconomically deprived 50% of small areas compared with the least deprived 50%. This is further used as a vehicle to argue that the association is likely to be underestimated. However, ecological information in a micro data study might introduce “hierarchical” measurement error, which the authors acknowledge by suggesting this as a target for future studies.

As in other studies, Blakely and colleagues find that the suicide-unemployment association in part is mediated by mental illness, which they primarily conclude from their sensitivity analysis of biases. They further explain that mentally ill people would to a larger degree be non-active on the labour market rather than unemployed, which then suggest a sensitivity analysis for the group of those who are non-active on the labour market. Although Blakely and colleagues use a set of external information that differs from the information used in the reference by Sander Greenland, the sensitivity analysis is one of the virtues of the paper, as it demonstrates an approach to deal with missing confounder information. Their lowest estimated relative risk of suicide among the unemployed (1.35) is quite similar to the rate found in a study where information on mental illness was included.

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ill than the rate used by Blakely and colleagues. It might be hypothesised that the suicide-unemployment association differs among people who suffer from a mental disorder, as studies have suggested no association or even a non-significant 30% reduction in risk, and as individual longitudinal studies of deliberate self harm and unemployment do not present a coherent picture. One study even finds that the suicide rates increased with increasing income among patients. This might be the effect of an increased stigma or because employed patients are in a particularly stressful situation.

As acknowledged by Blakely and colleagues, their study does not provide strong evidence in favour of the hypothesis, but they try to mend imperfections in their data, and we should encourage studies such as this.

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