RESEARCH REPORT

Socioeconomic status over the life course and stages of cigarette use: initiation, regular use, and cessation

S E Gilman, D B Abrams, S L Buka

Study objective: To investigate the association between multiple indicators of socioeconomic status (SES) over the life course and three stages of cigarette use: initiation, regular use, and cessation.

Design: Prospective birth cohort study.

Setting: Providence, Rhode Island.

Participants: Subjects (n = 657) aged 30 to 39 were offspring of participants in the Brown University cohort of the United States National Collaborative Perinatal Project started in 1959.

Main results: A significantly increased risk of smoking initiation was observed among people from lower socioeconomic backgrounds. Low SES in childhood also increased the risk for progression to regular smoking, and was associated with a reduced likelihood of smoking cessation. Progression to regular smoking and smoking persistence were also associated with lower adult SES.

Conclusions: Socioeconomic conditions over the life course accumulate to produce increased rates of smoking uptake and reduced rates of cessation among lower SES people. Addressing SES gradients in smoking will require persistent and extended intervention over multiple life stages.

METHODS

Subjects were offspring of mothers enrolled in the Providence, Rhode Island-Brown University site of the National Collaborative Perinatal Project (NCPP), a multisite cohort that entailed the prospective observation and examination of over 50,000 pregnancies through the first seven years of life. Obstetrical intake occurred between 1959 and 1966.

From the 4140 Providence NCPP pregnancies, 1057 offspring were selected for participation in an adult follow up study. 18, 19 As the original aim of the follow up study was to investigate the adult consequences of childhood learning disabilities, the baseline NCPP cohort was stratified according to the presence or absence of suspected learning disabilities, and subjects were selected randomly within strata. The sample selected for follow up to adulthood was similar to the baseline NCPP cohort with respect to maternal education and parental occupation, although the prevalence of household poverty was slightly higher in the follow up sample (58.7%) than in the baseline NCPP sample (49.5%). Information on maternal education, parental occupation, and household poverty status was obtained from the parents of study participants upon enrolment in the original NCPP, and from the study participants themselves before their participation in the adult follow up study.

Childhood SES was obtained via detailed social history interviews that were administered to NCPP participants upon enrolment and again when NCPP offspring were age 7. This was based on maternal education, parental occupation, and household poverty status. Maternal education was coded in years. Parental occupation at the time of the respondent’s birth and 7th year was defined as either manual or non-manual according to 1960 United States census categories. 20 Subjects in two parent households were assigned to the...
Life course socioeconomic status and stages of cigarette use

Higher occupational category of both parents, whereas those from one parent households were assigned to the occupational category of the parent with whom they were living. Subjects with parents outside of the paid labour force at the time of either childhood assessment were categorised as “not employed”. Household poverty status was defined according to the United States federal poverty threshold. Adult SES was based on respondent’s own educational attainment, coded in years, and occupational category based on the United States census in three categories: (1) unskilled or semi-skilled manual; (2) skilled manual; or (3) non-manual. Income was not included in the analysis of adult SES due to missing data.

Cigarette use was based on items from the smoking module of the Diagnostic Interview Schedule (DIS), administered to subjects in adulthood by trained interviewers. Transitions through stages of cigarette use were assessed via retrospectively reported ages at initiation, regular use, and cessation. Age at initiation was defined as the age at first cigarette, and was obtained for all participants reporting lifetime cigarette use. Among these respondents, progression to regular use was defined as the age at which respondents first smoked daily for at least 1 month. Among regular users, individuals whose last half pack of cigarettes was more than 1 year before interview were coded as ex-smokers, and age at smoking cessation was therefore the age at last half pack.

Discrete time survival analysis was used to model the ages at initiation, progression to regular use, and cessation. Three separate analyses were conducted, each one based on the individuals at risk for the three transitions of cigarette use. The full sample was included in the model for initiation, whereas the models for regular use and cessation were based on the subsets of respondents who initiated and became regular users respectively. Similarly, each model incorporated the number of years each person was at risk for progressing to the next transition. Person years from respondents not progressing to the next stage of smoking (that is, those who did not initiate, did not progress to regular smoking, or did not quit smoking) were censored at the age of the follow up interview. All models included indicator variables representing the sampling selection factors, and the models for regular use and cessation included the ages at prior transitions. Sex, race/ethnicity, history of maternal smoking, and the age at follow up interview were included as covariates in adjusted analyses.

RESULTS

Of the 1057 Providence-NCPP offspring selected for participation in the adult follow up study, 720 were successfully located and interviewed (68.1%). Participation did not vary significantly by childhood socioeconomic status; however, women (74.4%) were more likely to participate in the follow up study than men (64.8%). The present investigation is based on the 657 subjects (91.3% of the interviewed sample) with complete data for all study variables. The mean (SD) age of respondents at the time of the adult interview was 33.6 (1.8) (range: 30 to 39). The patterns of cigarette uptake, regular use, and cessation in the full sample and by sex, race/ethnicity, and SES are presented in table 1. There was a consistent pattern of association between indicators of lower SES across the life course and increased likelihood of initiation and progression to regular use, and decreased likelihood of cessation.

Transitions of cigarette use in the Providence-NCPP

Most respondents reported lifetime cigarette use (80.7%, n = 530), most of whom became regular smokers (79.2%, n = 420). About one quarter of regular smokers were no longer smoking in the year before interview (23.1%, n = 97). The pattern of these transitions over the life course is shown in parts A and B of figure 1. First cigarette use occurred almost entirely during childhood and adolescence, with progression to regular smoking occurring quickly afterward. Initiation of smoking and progression to regular use rarely occurred after age 25.

These age distributions determined the indicators of childhood and adult SES that were included in the prediction models for each stage of cigarette use. All three indicators of childhood (that is, parental) SES were included in the analysis of initiation. In addition, we included one indicator of adult SES (educational attainment) in the analysis of progression to regular use, and both indicators of adult SES (educational attainment and occupation) in the analysis of cessation. These decisions follow from recent research showing that attained SES is not established until the second decade of life, that education is the most reliable indicator of attained SES among younger adults, and that occupation—its level—heavily influenced by years of education—becomes a reliable indicator of SES later in adulthood.

Socioeconomic background and initiation of cigarette use

In separate models for each indicator of childhood SES, lower SES was associated with increased risk of first cigarette use (table 2). When indicators of childhood SES were included in a single model along with sociodemographic covariates, lower parental occupation, and household poverty remained significantly related to subsequent risk of initiation.

Life course SES and progression to regular smoking

Indicators of lower childhood and adult SES were significantly related to an increased likelihood of progression to regular use when analysed individually (Table 3). Analysed together, only maternal education predicted regular smoking, with each additional year of education conferring a reduction in risk for progression. Respondent’s own educational attainment was related to regular smoking in a similar fashion.

Life course SES and smoking cessation

All indicators of childhood and adult SES were individually related to the likelihood of smoking cessation (Table 4). However, only poverty status during the first seven years of

Key points

- Although cigarette smoking has been declining in recent decades, socioeconomic inequalities in smoking persist, and seem to be widening.
- Investigating the influence of socioeconomic status over the life course on specific stages of tobacco use may yield important information on the origins and persistence of socioeconomic inequalities in smoking.
- We analysed data from a prospective study of participants in the Providence, Rhode Island cohort of the United States National Collaborative Perinatal Project, and observed a significant association between lower socioeconomic status in early childhood and the likelihood of smoking initiation. Childhood and adult socioeconomic status contributed to the risk of progression to regular smoking and to the likelihood of smoking cessation.
- The influence of socioeconomic status on persistent smoking accumulates over the lifespan.
Life was related to cessation in a combined analysis of childhood socioeconomic indicators. After adult SES was added into the analysis, the association between childhood poverty and the odds of cessation was slightly attenuated. Furthermore, each additional year of adult education was related to a higher odds of quitting.

**DISCUSSION**

In this study, we investigated the development of socioeconomic differences in smoking along three axes. Firstly, we considered the influence of SES on the transitions through specific stages of cigarette use, and found that lower SES is significantly related to: (1) increased risk of initiation; (2) increased risk of progression to regular use; and (3) decreased likelihood of cessation. Secondly, we compared the influences of childhood and adult SES on smoking, and found that childhood SES (that is, parental SES) was significantly related to all three stages of cigarette use. In addition, adult SES significantly predicted regular use and cessation. Thirdly, we analysed the associations between multiple indicators of SES and cigarette use, and found that the indicators of childhood SES that were associated with life was related to cessation in a combined analysis of childhood socioeconomic indicators. After adult SES was added into the analysis, the association between childhood poverty and the odds of cessation was slightly attenuated. Furthermore, each additional year of adult education was related to a higher odds of quitting.

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smoking were different for each stage of use; however, adult educational attainment was the dimension of adult SES consistently associated with regular use and cessation. Below we discuss the results pertaining to each of these axes in turn, but first note several limitations to this study.

Our analyses rely on the accuracy of subjects’ recall of the ages at which transitions of cigarette use occurred. The reliability of the DIS age of onset questions has been assessed, yielding acceptable results. The validity of self reported smoking in non-clinical samples is also supported by a high correspondence with serum cotinine concentrations.

Our analysis of cessation is limited by the lack of data on patterns of all quit attempts following the onset of regular smoking. Rather, we focused on “current ex-smokers”—that is, people who were not smoking in the year before interview. Our use of a one year definition of remission means that people classified as having quit smoking will probably experience sustained abstinence, given that relapse rates drop sharply after one year of remission. We were also not able to investigate the influence of respondents’ income as adults on the stages of cigarette use. This may account for part of the association between educational attainment and progression to regular smoking as well as smoking cessation.

The Providence-NCPP was conducted contemporaneously with downward secular trends in cigarette use. As described above, however, declines in cigarette uptake and increases in cessation over the past 30 years have been most prominent among higher SES people. Within the context of a single birth cohort though, it is not possible to distinguish period/historical from cohort/generational effects. However, the long term follow up of a birth cohort such as the Providence-NCPP allows for the linkage of childhood conditions to adult health without the reliance on retrospective reports of the childhood environment. What is therefore needed is the investigation of life course SES in successive cohorts so as to evaluate the combined effects of secular trends and individual risk factors. Of note, Osler et al observed widening SES differences in current and lifetime smoking among younger birth cohorts, raising the possibility that the effects of SES within individuals over time are increasing contemporaneously with steeper socioeconomic gradients in smoking across individuals.

### Table 2 Socioeconomic background and initiation of cigarette use*

<table>
<thead>
<tr>
<th>Childhood socioeconomic status</th>
<th>Unadjusted OR</th>
<th>95% CI</th>
<th>Childhood SES and demographic covariates OR</th>
<th>95% CI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maternal education (y)</td>
<td>0.94</td>
<td>(0.91 to 0.98)</td>
<td>1.00</td>
<td>(0.95 to 1.05)</td>
</tr>
<tr>
<td>Birth Manual</td>
<td>1.66</td>
<td>(1.27 to 2.17)</td>
<td>1.49</td>
<td>(1.09 to 2.03)</td>
</tr>
<tr>
<td>Birth Manual</td>
<td>1.72</td>
<td>(1.26 to 2.31)</td>
<td>1.51</td>
<td>(1.08 to 2.13)</td>
</tr>
<tr>
<td>Household below US poverty level between subject’s birth and 7th year</td>
<td>1.48</td>
<td>(1.23 to 1.79)</td>
<td>1.33</td>
<td>(1.08 to 1.63)</td>
</tr>
</tbody>
</table>

*Discrete time survival models of the age at first cigarette. \[\dagger\] Separate models for each domain of SES controlling only for sample selection factors and time at risk. \[\dagger\dagger\] Combined model for all three domains of childhood SES, also controlling for sample selection factors, time at risk, sex, race/ethnicity, maternal smoking, and age at interview.

### Table 3 Socioeconomic background, adult SES, and progression to regular smoking*

<table>
<thead>
<tr>
<th>Childhood socioeconomic status</th>
<th>Unadjusted OR</th>
<th>95% CI</th>
<th>Childhood SES, demographic controls OR</th>
<th>95% CI</th>
<th>Childhood SES, demographic controls, adult SES[\dagger] OR</th>
<th>95% CI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maternal education (y)</td>
<td>0.88</td>
<td>(0.84 to 0.91)</td>
<td>0.88</td>
<td>(0.83 to 0.93)</td>
<td>0.91</td>
<td>(0.86 to 0.97)</td>
</tr>
<tr>
<td>Household below US poverty level between subject’s birth and 7th year</td>
<td>1.51</td>
<td>(1.21 to 1.88)</td>
<td>1.27</td>
<td>(0.99 to 1.64)</td>
<td>1.22</td>
<td>(0.95 to 1.58)</td>
</tr>
</tbody>
</table>

*Discrete time survival models predicting the age at regular smoking; model includes person years from first use through regular use, defined as daily smoking for one month. \[\dagger\] Separate models for each domain of SES controlling only for sample selection factors and time at risk. \[\dagger\dagger\] Combined model for all three indicators of childhood SES, also controlling for sample selection factors, time at risk, age at first cigarette use, sex, race/ethnicity, maternal smoking, and age at interview.
These findings are consistent with prior analyses of prevalent smoking\textsuperscript{11} as well as analyses of individual stages of cigarette use (that is, initiation\textsuperscript{1,2} and cessation\textsuperscript{4,6,7}), and underscore the importance of directing interventions towards multiple stages of use for the purpose of reducing socioeconomic differentials in smoking. This includes the prevention of cigarette uptake among disadvantaged youth,\textsuperscript{2} and improving access to smoking cessation programmes among lower SES adults.\textsuperscript{5,56} The comparative lack of information on the efficacy of smoking cessation programmes among lower SES individuals and among racial minorities is especially notable in light of the increasing need for such programmes.\textsuperscript{55}

**SES across the life course and cigarette use**

Lower parental SES during the first seven years of life increased the risk of first cigarette use. Subsequently, lower parental SES as well as a person’s own SES significantly increased the likelihood of progression to regular use. Smokers from lower socioeconomic backgrounds and those with lower attained SES were also least likely to quit. The combined effects of childhood and adult SES on the odds of daily cigarette use suggest that risk for persistent smoking is increased sharply in the context of life course socioeconomic disadvantage. With respect to smoking cessation, we observed that adult SES partly accounted for the reduced odds of cessation among respondents who experienced poverty during their childhood. This highlights the relevance of SES trajectories over the life course for adult smoking.\textsuperscript{51}

Among adults, indicators of low SES are consistently related to a decreased likelihood of cessation.\textsuperscript{51} However, evidence in support of an association between childhood SES and adult smoking is inconsistent. In the Whitehall II study of British civil servants, for example, Brunner et al found that father’s social class predicted current smoking independently from respondents’ own social class; however, this was significant only among women.\textsuperscript{51} Other studies failed to find any effect of father’s SES on current smoking net of adult SES.\textsuperscript{54,55} However, these studies assessed childhood SES using retrospective reports from adult respondents, and analysed current smoking rather than stages of cigarette use.\textsuperscript{57}

### Table 4  
Socioeconomic background, adult socioeconomic status, and smoking cessation*

<table>
<thead>
<tr>
<th>Childhood SES, demographic controls†</th>
<th>Childhood SES, demographic controls, adult SES‡</th>
</tr>
</thead>
<tbody>
<tr>
<td>OR 95% CI</td>
<td>OR 95% CI</td>
</tr>
<tr>
<td>Maternal education (y)</td>
<td>Maternal education (y)</td>
</tr>
<tr>
<td>Birth</td>
<td>Birth</td>
</tr>
<tr>
<td>Age 7</td>
<td>Age 7</td>
</tr>
<tr>
<td>OR 0.68 (0.36 to 1.32)</td>
<td>OR 0.68 (0.36 to 1.32)</td>
</tr>
<tr>
<td>95% CI (0.36 to 1.32)</td>
<td>95% CI (0.36 to 1.32)</td>
</tr>
<tr>
<td>Unemployed at birth or age 7</td>
<td>Unemployed at birth or age 7</td>
</tr>
<tr>
<td>Manual</td>
<td>Manual</td>
</tr>
<tr>
<td>OR 0.57 (0.26 to 1.26)</td>
<td>OR 0.57 (0.26 to 1.26)</td>
</tr>
<tr>
<td>95% CI (0.26 to 1.26)</td>
<td>95% CI (0.26 to 1.26)</td>
</tr>
<tr>
<td>Non-manual</td>
<td>Non-manual</td>
</tr>
<tr>
<td>OR 1.06 (0.50 to 2.27)</td>
<td>OR 1.06 (0.50 to 2.27)</td>
</tr>
<tr>
<td>95% CI (0.50 to 2.27)</td>
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</tr>
<tr>
<td>Household below US poverty level</td>
<td>Household below US poverty level</td>
</tr>
<tr>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>0.62 (0.40 to 1.08)</td>
<td>0.62 (0.40 to 1.08)</td>
</tr>
<tr>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>OR 0.52 (0.35 to 0.78)</td>
<td>OR 0.52 (0.35 to 0.78)</td>
</tr>
<tr>
<td>95% CI (0.35 to 0.78)</td>
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<td>Yes</td>
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</tr>
<tr>
<td>No</td>
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</tr>
<tr>
<td>95% CI (0.35 to 0.78)</td>
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</tr>
</tbody>
</table>

*Discrete time survival models of most recent quit attempt sustained for at least one year; models include person years from age at regular smoking through age at most recent quit attempt. Separate models for each domain of SES controlling only for sample selection factors and time at risk. Combined model for all three domains of childhood SES, also controlling for sample selection factors, age at first cigarette, age at regular smoking, sex, race/ethnicity, maternal smoking, and age at interview. Also controlling for respondent’s educational attainment and occupation.

### Multiple dimensions of childhood and adult SES

Although the results of our study and those of previous studies demonstrate a persistent relation between lower SES and stages of cigarette use, there is considerable variability across studies in the dimensions of SES that are most important for smoking outcomes. In our analyses, all of the indicators of childhood SES and adult SES were significantly correlated with transitions through stages of cigarette use when analysed individually. When modelled simultaneously, the results were predictable only of initiation, maternal education predicted progression to regular smoking, and household poverty during childhood predicted initiation along with cessation.

It is not immediately clear why the measures of childhood SES that predict smoking are different for initiation, regular use, and cessation. Keeping in mind the fact that indicators of SES are subject to measurement error, various dimensions of childhood SES probably represent different aspects of children’s socioeconomic conditions. Interestingly, Harper et al proposed that parental education reflects children’s intellectual environment whereas parental occupation and income reflect children’s access to material resources.\textsuperscript{58} Although direct tests of these hypotheses are needed,\textsuperscript{59} extending Harper et al’s theory to the present results suggests that factors related to material disadvantage may explain the association between parental SES and smoking initiation, whereas factors related to cognition or cognitive development may contribute to the habitualisation of cigarette use among lower SES experimenters.\textsuperscript{55}

Regarding adult SES, respondents’ own education, but not occupation, strongly predicted progression to regular use and...
cessation. Similarly, results from previous studies that analysed multiple indicators of adult SES also suggest that adult educational attainment is more closely aligned with smoking than occupation or income. However, evidence also suggests that education, occupation, and income contribute independently to smoking risk. Although indicators of SES tend to be highly correlated, they are not entirely redundant, suggesting that SES is not a unidimensional construct but reflects multiple social processes that may be of varying importance for different health outcomes. Therefore, to further investigate the association between SES and cigarette use, we would argue for the continued analysis of multiple measures of SES in future studies—rather than empirically selecting a single indicator of SES—to as permit the conceptual work necessary to link specific aspects of SES to aetiological processes.

Conclusion

The relation between SES and smoking patterns is complex, involving cumulative and multiple effects across the human life course, possibly extending from one generation to the next. Therefore, social and economic policies may have significant implications for tobacco use and should be considered a potential avenue for tobacco control. Taking a transdisciplinary perspective to understanding patterns of variation in smoking across the life course indicates how results of studies like this one have the potential to inform future interventions and policy formations towards long-term strategies for systematically reducing population smoking prevalence and associated disease burden.

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Conflicts of interest: none declared.

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APHORISM OF THE MONTH

The need for strategic underview

Top-down, bottom-up, meet in the middle—there is a lot of discussion about how to modernise and achieve change, particularly in public services. We have been inching our way from feudal organisational forms through the free market and paternalistic organisations. The current received wisdom is about the need to empower frontline staff and to shift the balance of power away from the centre towards the periphery. Decentralisation and participation are the key words. There is often talk, rather like sheep and goats, of people who are either “strategic” (visionaries, leaders, high paid people) or “operational” (the people who do the work and are usually much lower paid with much less job security, and who are often sacrificed when things go wrong).

The reality is that, as usual in life, we need a whole systems approach, where we acknowledge and respect our interdependence. This means that not only should the high flying leaders keep their feet on the ground, while maintaining their eyes above the horizon, but that the doers should understand how their contributions, so often considered modest, contribute to major strategic achievements. The need for strategic underview.

JRA