THEORY AND METHODS

Block observations of neighbourhood physical disorder are associated with neighbourhood crime, firearm injuries and deaths, and teen births

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Study objective: To provide reliability information for a brief observational measure of physical disorder and determine its relation with neighbourhood level crime and health variables after controlling for census based measures of concentrated poverty and minority concentration.

Design: Psychometric analysis of block observation data comprising a brief measure of neighbourhood physical disorder, and cross sectional analysis of neighbourhood physical disorder, neighbourhood crime and birth statistics, and neighbourhood level poverty and minority concentration.

Setting: Pittsburgh, Pennsylvania, US (2000 population = 334 563).

Participants: Pittsburgh neighbourhoods (n=82) and their residents (as reflected in neighbourhood level statistics).

Main results: The physical disorder index showed adequate reliability and validity and was associated significantly with rates of crime, firearm injuries and homicides, and teen births, while controlling for concentrated poverty and minority population.

Conclusions: This brief measure of neighbourhood physical disorder may help increase our understanding of how community level factors reflect health and crime outcomes.

Ecological studies relating variability in area characteristics to crime rates, and contextual studies linking community processes to individual level crime have greatly increased our understanding of how disadvantaged neighbourhoods impart risk on residents. There is considerable evidence that structural neighbourhood characteristics such as concentrated disadvantage and residential instability are related to lower levels of neighbourhood social control (that is, less ability to maintain an orderly environment) and collective efficacy (that is, less ability to realise collective goals), which are in turn related to increased risk for crime and victimisation.^{1–2} Such characteristics are partially or fully mediated by community processes (for example, social control, collective efficacy, social capital) in their association with adolescent behaviour problems and mental health.^{3–4}

Much of the research examining the structural characteristics of US neighbourhoods has relied upon administrative data derived from the decennial census.⁵ However, experts in the developing field of "ecometrics" (the science of measuring ecological settings) emphasise the importance of also using systematic observations to gather complete information about neighbourhoods.^{6–7} Physical signs of neighbourhood disorder, such as litter, graffiti, and abandoned cars are of particular theoretical interest because they are considered to be direct reflections of residents' inability or unwillingness to collectively prevent, manage, or improve undesired neighbourhood activity.⁷ Signs of disorder also reflect neighbourhood deterioration and external agencies' failure to tackle these problems, resulting in disinvestment and out-migration.^{8–9}

In a pioneering study, Sampson and Raudenbush⁸ showed that the relation between systematic social observations of neighbourhood disorder with violent victimisation and crime was explained by lower collective efficacy, even after accounting for census based neighbourhood structural characteristics. The procedure used to obtain systematic ratings of disorder included both "live" observations and

observations coded from videotape. The investigators acknowledge that the costs of this methodology for large scale research are substantial.¹⁰ This paper replicates and extends these findings by examining the psychometric properties of a shorter form of their observation based measure of neighbourhood physical disorder and investigating its associations with neighbourhood level crime and public health. Epidemiologists have long been interested in the public health impact of "place" as a means of understanding socioeconomic disparities in health.^{11–12} Recent research has shown that neighbourhood disadvantage predicts a diversity of poorer health outcomes, such as injury, mortality,¹³ and low weight births¹⁴ and neighbourhood physical disorder, specifically, has been shown to predict gonorrhoea and premature mortality.^{14–15}

The specific aims of this paper are to: (1) establish the inter-rater reliability, internal consistency, and convergent validity of a brief index of neighbourhood physical disorder based on systematic block observations, and (2) compare the utility of this index to that of census based neighbourhood poverty for predicting rates of crime, firearm injuries and homicide, teenage births, and low weight births. In addition to elucidating the substantive associations between physical disorder and neighbourhood level crime and health, the last aim allows us to evaluate whether or not an observed measure of disadvantaged neighbourhood settings than administrative measures, particularly in relation to social impact. We also control for minority concentration to establish whether the results hold independently of social and financial disadvantages associated with ethnicity.

Abbreviations: ICC, intraclass correlation; PDI, physical disorder index

*Evelyn Wei has died since this work was undertaken.

Table 1 Descriptive statistics and correlations with neighbourhood physical disorder (n = 82)

	Mean	SD	Range	Correlation with physical disorder	p Value
Physical disorder	1.99	0.79	0–3.88		
Census 2000 data					
Family poverty	18.19	15.39	0–72.10	0.49	<0.001
Vacant households	13.43	8.80	.86–42.71	0.63	<0.001
Minority concentration	35.04	34.38	.22–96.96	0.47	<0.001
Crime*					
1999 Total crimes†	155.73	111.42	23.95–626.61	0.56	<0.001
2000 Total crimes†	142.72	87.84	26.12–478.30	0.58	<0.001
Firearm injuries‡					
1999 Any firearm injuries/homicides	0.66	0.48	0–1	0.24	0.028
2000 Any firearm injuries/homicides	0.65	0.48	0–1	0.40	<0.001
1999 Any firearm injuries	0.61	0.49	0–1	0.22	0.047
2000 Any firearm injuries	0.61	0.49	0–1	0.34	0.002
1999 Any firearm homicides	0.23	0.42	0–1	0.46	<0.001
2000 Any firearm homicides	0.26	0.44	0–1	0.33	0.001
Birth statistics					
1999 Teen births§	50.95	65.91	0–500.00	0.41	<0.001
2000 Teen births§	46.58	41.66	0–230.77	0.58	<0.001
1999 Low birth weight (of all births)	10.13	7.70	0–34.15	0.23	0.035
2000 Low birth weight (of all births)	10.50	7.84	0–40.00	0.27	0.016

*For crime data, two neighbourhoods were combined into one (n = 81). †Rate per 1000 residents. ‡Values represent the presence (1) or absence (0) of the firearm injuries described within each neighbourhood. §Rate per 1000 females aged 13–19 in each neighbourhood.

METHODS

This study was conducted in 1998–2000 in the City of Pittsburgh, Allegheny County, Pennsylvania. According to the 2000 census, Pittsburgh has 334 563 residents, a median household income of \$28 588, a 10.7% unemployment rate among adults in the labour force, and has seen a steady decline in population over the past 50 years (10% from 1990 to 2000). Most residents are white (67.6%) or African American (27.1%); 1.3% is Hispanic or Latino. The city consists of 91 neighbourhoods that are known by name to residents.

Measurement of physical disorder

Observations of street face blocks took place from 1998 to 2000 while households were being enumerated to identify participants for a population based longitudinal study of the development of problem behaviours in girls.¹⁶ The Institutional Review Board at the University of Pittsburgh approved all protocols for the large scale study of which the block observations were a part. Street blocks were selected for enumeration from a citywide list of addresses obtained from the department of city planning, the US Postal Service, and the 911 database. In the 23 neighbourhoods where at least 25% lived below the poverty level,¹⁷ all blocks were enumerated, and in 66 of the other neighbourhoods 50% of the blocks were selected randomly for enumeration. Two neighbourhoods were not sampled: one because of the low density of residential dwellings, and one, newly formed, because of the lack of an address database. In all, 103 238 households were enumerated and block observation logs (described below) were filled out for 6203 block faces in 89 neighbourhoods.

The neighbourhood physical disorder index was based on data from the block observation log, a shortened version of an observational measure developed by Sampson and Raudenbush.⁸ The log prompted observers to rate particular street patterns and conditions, volume of traffic, land use, and physical disorder such as graffiti and litter on the pavements. The enumerators received training on completing the log and were provided with manuals containing general instructions and definitions. The physical disorder index (PDI) consisted of the sum of five items from the block observation log: the presence (1) or absence (0) of graffiti, beer or liquor cans or bottles, cigarette butts, litter or broken

glass, and abandoned cars. Condoms, needles or drug paraphernalia, and broken automobile glass were not included because they occurred at a very low frequency. The index was calculated at the neighbourhood level by averaging the scores for blocks within each neighbourhood.

Seven of the 89 enumerated neighbourhoods were excluded from analyses because fewer than 10 blocks had been enumerated or because its total population was below 300. Block observations with complete data provided the information for the PDI (n = 6107). For blocks that were rated by multiple enumerators (n = 437), one rating was randomly selected for inclusion in the analyses. Thus, 82 neighbourhoods comprised of 5670 blocks served as the units of analysis. Across these neighbourhoods, graffiti was observed on 27.8% of the blocks, beer or liquor cans or bottles in 32.2%, cigarette butts in 70.4%, litter or broken glass in 63.7%, and abandoned cars in 12.7%.

Inter-rater reliability

To collect data for establishing inter-rater reliability, the first and fourth authors independently re-visited a random 5% (n = 311) of the enumerated blocks, stratified by neighbourhood. When possible, these data were collected at times similar to the corresponding original observations (that is, daytime compared with evening, weekday compared with weekend).

Other neighbourhood characteristics

All other neighbourhood level characteristics were obtained from various public data sources. Percentage of vacant homes, minority concentration (that is, percentage of African American residents), and percentage of families living below the poverty level were based on data from the 2000 census.^{18–19} To convert census tract data to the neighbourhood level, percentages were averaged across neighbourhoods consisting of more than one census tract.

Neighbourhood crime for 1999 and 2000 was indexed by the total number of crimes per 1000 neighbourhood residents.^{20–21} Firearm related injuries and homicides for 1999 and 2000, based on incidents reported by the city coroner's office and the trauma centres of the four major local hospitals, correspond to the neighbourhood of the victim's residence.^{22–23} Because most neighbourhoods had no, or few, firearm related injuries/homicides, these variables

Table 2 Hierarchical logistic regression of firearm injury/homicides in the year 2000 by neighbourhood physical disorder (n = 82)

		B	SE	Odds ratio (95%CI)
Any firearm injury/homicide				
Step 1	Minority concentration	0.025	0.012	1.026 (1.001, 1.051)
	Family poverty	0.032	0.032	1.033 (0.970, 1.099)
Step 2	Minority concentration	0.025	0.013	1.026 (0.999, 1.053)
	Family poverty	0.006	0.031	1.006 (0.946, 1.070)
	Physical disorder	0.903	0.416	2.466 (1.092, 5.571)

B, unstandardised regression coefficient.

were coded as being present or absent within the neighbourhood over the past year.

The number of births to teenage mothers in each neighbourhood and percentage of all births that were low weight (<2500 grams) in 1999 and 2000 were based on information from birth certificates.²⁴ The index of teen births was converted to a rate per 1000 based on information from the 2000 census showing how many females aged 13 to 19 lived in each neighbourhood.¹⁹

Analyses

The reliability of the observational measure of physical disorder was evaluated in two ways. Firstly, we examined inter-rater reliability at the neighbourhood level by calculating an intraclass correlation coefficient (ICC). Next, we evaluated the internal consistency of the five items of the PDI for each neighbourhood type.²⁵ The remaining analyses were designed to provide evidence for the validity of the index. Convergent validity was tested by correlating PDI scores with the percentage of vacant homes and neighbourhood poverty. Criterion validity was evaluated by examining the correlation between physical disorder and neighbourhood level measures of crime, firearm related injuries, teen births, and low birthweight births. Lastly, the incremental validity of the PDI was examined using hierarchical regression. Specifically, we examined whether neighbourhood physical disorder was related to year 2000 rates of crime, firearm injuries/homicides, teenage births, and low weight births, after controlling for neighbourhood poverty and minority concentration. Logistic regression was used to examine the binary outcome of firearm injuries/homicides, and linear regressions were used for all other continuous outcomes.

RESULTS

Physical disorder index: reliability

Neighbourhood level inter-rater reliability, based on the 71 neighbourhoods for which there were two independent ratings, was moderate,²⁶ (ICC = 0.64; 95% CI = 0.62, 0.66; $p < 0.001$). Neighbourhood level internal consistency of the measure was high ($\alpha = 0.90$), and was similar in disadvantaged and non-disadvantaged neighbourhoods ($\alpha = 0.86$ and 0.91 respectively). This suggests that the reliability of the physical disorder index for the 50% block enumerated neighbourhoods is similar to those that were 100% enumerated.

Physical disorder index: validity

Physical disorder for the 82 neighbourhoods ranged from zero to 3.88 (maximum range: 0 to 5), with a mean of 1.99 and a standard deviation of 0.79 (see table 1). Support for the convergent validity of the physical disorder index was shown by strong correlations with the percentage of vacant homes and family poverty as measured by the census.

The PDI also showed good criterion validity and was significantly correlated with total crime, firearm related injuries and homicide, and birth statistics in 1999 and 2000. Specifically, neighbourhoods with high levels of physical disorder had higher crime rates, including firearm related homicides. Physical disorder was also positively associated with teen birth rates, as well as the percentage of low weight births within neighbourhoods.

Finally, the incremental validity of the PDI was assessed by examining its ability to account for additional variance in neighbourhood level health outcomes and crime statistics measured in 2000, after controlling for the influence of census based poverty and minority concentration. The logistic regression examining whether there was a firearm related

Table 3 Hierarchical linear regression of crime rate, teen births, and low birth weight in the year 2000 by neighbourhood physical disorder (n = 82)

		B	SE	95% CI
Crime rate				
Step 1:	Minority concentration	0.94	0.36	(0.22, 1.66)
	Family poverty	0.29	0.81	(-1.32, 1.91)
Step 2:	Minority concentration	0.61	0.33	(-0.04, 1.27)
	Family poverty	-0.60	0.75	(-2.09, 0.88)
	Physical disorder	56.05	11.98	(32.20, 79.90)
Teen birth rate				
Step 1:	Minority concentration	0.33	0.14	(0.05, 0.62)
	Family poverty	1.17	0.32	(0.53, 1.80)
Step 2:	Minority concentration	0.23	0.14	(-0.04, 0.50)
	Family poverty	0.89	0.31	(0.27, 1.50)
	Physical disorder	17.39	4.96	(7.51, 27.27)
Low birth weight				
Step 1:	Minority concentration	0.03	0.03	(-0.03, 0.10)
	Family poverty	0.14	0.07	(-0.01, 0.29)
Step 2:	Minority concentration	0.03	0.03	(-0.04, 0.09)
	Family poverty	0.12	0.08	(-0.27, 0.28)
	Physical disorder	0.92	1.22	(-1.51, 3.35)

B, unstandardised regression coefficient.

What this paper adds

Social observations of neighbourhood disorder have been shown to relate to violent victimisation and crime, but the costs of such data collection can be substantial. This paper describes a brief, reliable, and valid ecological assessment of neighbourhood physical disorder that is related to crime, firearm injuries and deaths, and births to teenage girls, while controlling for poverty and minority concentration. This paper adds to the literature by (1) introducing a brief method for use in future research on health disparities, (2) supporting the possibility that a community's inability to realise common goals to reduce disorder and crime may also reflect an inability to realise goals to help teens make healthy choices, and (3) suggesting that interventions to increase social capital and informal social controls at the community level may not only improve safety but may also reduce socioeconomic disparities in health and wellbeing.

injury or homicide in a neighbourhood is presented in table 2. Results showed that higher levels of neighbourhood physical disorder were significantly associated with an increased risk for firearm related injury or homicide even after controlling for neighbourhood poverty and minority concentration. In fact, physical disorder was the only significant correlate of firearm injury/homicide in the final regression model.

Table 3 shows linear regressions of crime rate, teen births, and low birthweight births by neighbourhood physical disorder. For neighbourhood crime, physical disorder significantly added to the model above and beyond the influence of poverty and minority concentration. Physical disorder was the only independent variable significantly associated with increased total crime in the final model, which explained 35% of the total variance. The findings for teen births were similar, with physical disorder significantly adding to the model after controlling for the influence of poverty and minority concentration. Both physical disorder and family poverty were significantly associated with increased levels of teen births in the final model. In contrast, neighbourhood physical disorder was not significantly associated with percentage of low birthweight births after controlling for poverty and minority concentration.

DISCUSSION

In general, the findings support the reliability and validity of this brief measure of neighbourhood physical disorder based on systematic block observations. As anticipated, higher levels of physical disorder were associated with increased levels of crime, firearm injuries/homicides, and teen births, while accounting for family poverty and minority concentration. These results replicate the findings of Sampson and Raudenbush,⁸ who reported a similar correlation between neighbourhood disorder and census based poverty, and moderate associations between disorder and homicide, robbery, and burglary in their Chicago based study. The results extend existing research by also showing a substantial association between neighbourhood physical disorder and

teenage birth rates that was independent of family poverty and minority concentration.

Before discussing the results of this study, some limitations need to be acknowledged. Firstly, the inter-rater reliability of the PDI was modest, most probably reflecting the different experiences of enumerators and reliability raters. City enumerators walked up to homes to inquire about potential study participants, whereas reliability raters observed physical disorder from pavements. It is feasible that there is a greater variation in physical disorder in more public spaces, such as pavements and streets, compared with spaces closer to the homes, such as on front porches. Furthermore, to make contact with members of all households, the enumerators often visited the same block repeatedly, whereas reliability raters visited each block once. Finally, although raters matched the general time of day of recordings, disagreement could have arisen from seasonal or weather variations in observations (for example, litter may have been covered by snow). Despite these differences, the index showed adequate reliability and excellent internal consistency.

Secondly, as with any cross sectional study, the direction of effects cannot be asserted. Our results indicate a direct relation between physical disorder and higher crime rates and teenage births. Based on previous neighbourhood research, the link between physical disorder and high rates of crime and teenage births may be explained by some characteristic of social networks (for example, social capital, defined variously as civic and interpersonal trust, assets and resources available via community connections, and collective efficacy).^{27–29} Alternatively, the link may show that higher risk people (for example, vulnerable teenagers, perpetrators of crime) self select into disordered neighbourhoods. Longitudinal research, which includes individual level factors, is clearly needed to clarify the temporal ordering of these effects and to identify the relevance of different social mechanisms.

Reinforcing the notion that crime and population health may share similar social origins,³⁰ observable signs of physical disorder were related to higher levels of poverty, crime and gun violence, and also to births to teenage girls, independent of poverty and minority concentration. Neighbourhood economic disadvantage has been shown to predict lower levels of collective efficacy, which in turn, predicts higher levels of disorder and crime.⁸ This paper supports the possibility that a community's inability to realise common goals to reduce disorder and crime may also reflect an inability to realise goals to help teens make healthy choices. A relation between neighbourhood disorder and health outcomes related to sexual behaviour was found by Cohen and colleagues,¹⁵ in their study of neighbourhood deterioration and gonorrhoea rates. Our paper also supports the possibility that disorder may relate to unhealthy choices by increasing one's general sense of powerlessness.³¹

At the simplest level, reductions in physical disorder may be associated with lower rates of crime and adverse health outcomes. To the extent that conditions such as litter, vandalism, and abandoned cars are an outward sign of poor collective efficacy however, these results also have important implications for a range of community based prevention efforts and policy. They suggest that policies supporting the development of social capital and cohesion at the community level may not only improve safety but may also reduce socioeconomic disparities in health and wellbeing. Social ties with neighbours have been found to buffer the effects of perceived neighbourhood disorder on fear and mistrust,³² suggesting that the relation between disorder on negative health outcomes may be mitigated by improving neighbourhood cohesion. Increasing social connections can result in more sharing among neighbours of information regarding

Implications for policy

The results suggest that policies supporting the development of social capital and informal social controls at the community level may not only improve safety but may also reduce socioeconomic disparities in health and wellbeing.

public health issues, resources and services, and raise collective support for health promoting behaviours. Areas plagued by physical disorder may be communities that will benefit most from the efforts of crime prevention and public health practitioners. Minimally, neighbourhoods with high physical disorder may benefit from targeted teen pregnancy prevention efforts.

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