Can we evaluate population screening strategies in UK general practice? A pilot randomised controlled trial comparing postal and opportunistic screening for genital chlamydial infection

Abiola Senok, Phil Wilson, Margaret Reid, Anne Scoular, Neil Craig, Alex McConnachie, Bridie Fitzpatrick, Alison MacDonald

Study objective: To assess whether opportunistic and postal screening strategies for *Chlamydia trachomatis* can be compared with usual care in a randomised trial in general practice.

Design: Feasibility study for a randomised controlled trial.

Setting: Three West of Scotland general medical practices: one rural, one urban/deprived, and one urban/affluent.

Participants: 600 women aged 16–30 years, 200 from each of three participating practices selected at random from a sample of West of Scotland practices that had expressed interest in the study. The women could opt out of the study. Those who did not were randomly assigned to one of three groups: postal screening, opportunistic screening, or usual care.

Results: 38% (85 of 221) of the approached practices expressed interest in the study. Data were collected successfully from the three participating practices. There were considerable workload implications for staff. Altogether 124 of the 600 women opted out of the study. During the four month study period, 55% (81 of 146) of the control group attended their practice but none was offered screening. Some 59% (80 of 136) women in the opportunistic group attended their practice of whom 55% (44 of 80) were offered screening. Of those, 64% (28 of 44) accepted, representing 21% of the opportunistic group. Forty eight per cent (59 of 124) of the postal group returned samples.

Conclusion: A randomised controlled trial comparing postal and opportunistic screening for chlamydial infection in general practice is feasible, although resource intensive. There may be problems with generalising from screening trials in which patients may opt out from the offer of screening.

About 3% of UK women aged between 18 and 24 years have undiagnosed genital *Chlamydia trachomatis* infection. The most serious sequelae of the infection are pelvic inflammatory disease, infertility, and ectopic pregnancy. New sensitive urine tests can detect chlamydial DNA, making non-invasive screening and treatment of asymptomatic people feasible. The costs of screening may be offset by the costs avoided of treating cases with long term sequelae but this view has been challenged. The true costs and benefits of screening can only be established in randomised controlled trials with long term follow up.

If a national screening programme is to be introduced the best mechanism has to be established. Current UK guidelines recommend opportunistic screening of sexually active women aged less than 25 years and women between 25 and 30 years who have had new sexual partners in the previous year. It has been proposed that opportunistic screening should be offered in general practice where reasonable population coverage has been obtained. An alternative, systematic screening strategy entails delivery of kits containing vaginal pipettes or urine containers to patients' homes for return to the laboratory. Regardless of method, doubts have been expressed about the knowledge base and attitudes of practice staff in relation to chlamydia screening. Armstrong et al reported that screening was commonly offered during attendance for cervical smears, but that this practice skewed offers of screening towards women over 20 years, a much lower risk population than teenagers.

There are no published trials comparing postal with opportunistic screening for genital chlamydial infection. This feasibility study sought to establish the practical considerations for implementing a successful randomised controlled trial (RCT) of active screening strategies for genital chlamydial infection in general practice.

METHODS

The practices

We invited 221 West of Scotland general medical practices to participate. Practices were given details of the work involved and of financial reimbursement (at hourly rates corresponding to normal salaries, with overtime paid at 50% above standard rates). Using postcodes, practices agreeing to participate were stratified by location and deprivation into three types (rural, deprived urban, and affluent urban). We classified deprivation categories 1 and 2 as affluent and categories 6 and 7 as deprived. One from each type was chosen at random to participate.

In-practice training was organised for clinical and clerical staff. A three day course for practice nurses was provided by a sexual health advisor and three of the authors. Course content included clinical features and management of chlamydia and other sexually transmitted infections; counselling; giving a positive result and partner notification.

Ethics and data protection

Three local research ethics committees (LRECs) granted ethics approval. The first LREC we approached insisted that...
some form of consent from participants was required. Women were sent an introductory letter describing the study and indicating that participation might entail receiving chlamydia test kits, questionnaires, and data extraction from their records. The option to opt out was offered at this stage. In two practices, women opting out were informed that information about postcode sector and year of birth would be collected. In the third practice, the LREC required patients to have the choice of opting out without this information being used. Patients who had not been sexually active were not asked to opt, but the introductory letter explained that chlamydial infection was sexually transmitted. Women returning samples or questionnaires were asked to provide written consent.

**Intervention phase (June–September 2002)**

Figure 1 summarises the study protocol. Practice staff were given a procedure manual and continued support from the researcher by phone calls, emails, and visits. They were provided with templates for standard letters to be signed by

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**Figure 1** Study protocol.
the patient’s GP and sent to patients at different phases. The mailing date was recorded on a slip attached to each pack and returned to the study office.

Two hundred patients aged 16–30 years were selected from each practice list using the random sampling function in SPSS. Women deemed unsuitable for the trial (for example, those with terminal illness) were excluded by their GPs.

One month after the initial mailing to patients, opt out slips were collated and the study list was amended and anonymised by practice staff. The researcher used this anonymised list to allocate women randomly to one of three study groups: postal, opportunistic, or control.

Postal group
Women were sent pre-labelled test kits returnable to the laboratory in a reply paid, pre-addressed envelope; a chlamydia information leaflet and a consent form to be returned to the practice.

Opportunistic group
Before the screening phase, case notes of these women were flagged and identifier labels and a checklist for clinicians were placed inside the notes. These women were to be offered screening when they attended the practice for any service during the four month study period. Test kits were similar to those used for the postal group.

Control group
Women in the control group were treated according to usual practice.

On completion of the study period, practice nurses extracted data from medical records on all numbers and

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**Figure 2** Participant numbers, study group allocation, screening uptake, and questionnaire response rates.

600 women identified
- 21 excluded by GP
- 579 approached
- 19 untraceable
- 105 opt outs
- 455 randomised
- 23 moved, 7 late opt outs
- 1 moved, 4 late opt outs
- 6 moved, 8 late opt outs

Postal group 124
- No sample
  - 65
- Returned Q
  - 23
- Returned sample 59 (48% of those offered test*)
  - Test negative
    - 56
  - Returned questionnaire 44

Opportunistic group 136
- No sample
  - 16
- Returned Q
  - 2
- Offered test
  - 44
- Returned sample 28 (21% of those offered test†)
  - Test positive
    - 4 (14%)
  - Returned questionnaire 12

Control group 146
- No sample
  - 16
- Returned Q
  - 2
- Returned sample 0 (0%)
  - Test negative
    - 24
  - Returned questionnaire 0

* 38% of women randomised to postal group; †19% of women randomised to opportunistic group.
types of consultations in the study period and the preceding year, and on recorded consultations relating to sexual health during the whole period covered by their records.

**Staff interviews**
A sample of 13 staff (two GPs, one or two nurses, and one or two administrative staff from each practice) was interviewed by one of the authors (MR) to obtain views on the workload associated with postal and opportunistic screening and on the benefits and obstacles associated with the screening and research processes. The sampling strategy was designed to recruit staff who were directly involved in the rolling out of the research project, and this varied by practice. Interviews were transcribed and analysed thematically, by coding responses into categories and themes, and looking for negative cases.

**Statistical methods**
Data from practices and laboratories were recorded in Microsoft Access databases. Comparisons were made between practices and age groups (<20, 20–24, and >25 years) using Fisher’s exact test in SPSS.

Data from the opportunistic group were used to test whether women who visited their practice more often were more likely to be offered screening. Among attendees, the conditional probability of being offered screening and of accepting a test was estimated for the three age groups.

Attendance data from all women over the year before screening were used to estimate the probabilities of attending the practice during a full year in each age group. When combined with the conditional probabilities derived earlier, this permitted estimates of uptake rates in a study lasting for one year. Similarly, given the rate of positive chlamydia results among those screened in the opportunistic group, the rate of positive results for the whole population if the screening programme was to run over a full year was estimated.

**RESULTS**
Of the 221 practices approached, 146 (66%) responded. Of these, 62 (42%) responded positively, 23 (16%) expressed interest without commitment, and 61 (42%) declined. Data were collected successfully from the three participating practices.

**Uptake**
Figure 2 summarises participant numbers, study group allocation, screening uptake, and questionnaire response rates. Opt out rates did not vary significantly by practice or deprivation category. Younger patients in the two practices with adequate data were somewhat more likely to opt out: 29 of 91 (32%) in the under-20 age group compared with 54 of 258 (21%) among the over-20s (\(p = 0.045\)). The study groups were well matched for age and deprivation category (table 1).

There were no significant inter-practice differences in offers or uptake of postal or opportunistic screening.

There was no significant attrition in offers of screening to the opportunistic group over the study period as measured by date of first attendance at the practice. We found no association between the number of visits to the practice and the probability of being offered a test. Based on the consultation data for the previous year, we estimate that the overall uptake rate in the opportunistic group over one year would be about 30%.

Most tests were offered by GPs (36 of 41 consultations where the clinician could be determined), mainly during consultations for non-gynaecological illnesses. One woman attended her GP requesting chlamydia screening. Three of the four offers by practice nurses occurred during consultations for cervical smears or contraception.

Women between 20 and 24 years were least likely to attend their practice (19 of 45 compared with 61 of 91: \(p = 0.024\)). Among those who did attend, women under 20 years were most likely to be offered a test (15 of 18 compared with 29 of 61: \(p = 0.0015\)). In the interviews some GPs associated the condition with a younger age group and reported a tendency to target younger women routinely.

In women under 20 years, uptake for opportunistic screening (9 of 13; 60%) was significantly higher than for postal screening (5 of 23; 22%) (\(p = 0.036\)). The opportunistic screening acceptance rate was not significantly higher when considered as a proportion of those randomised to opportunistic screening (9 of 27, 33%) but, based on projections from consultation patterns in the preceding year, it would increase over a longer study period. In women over 20 years who were offered a test the opportunistic uptake rate (19 of 29, 66%) was not significantly higher than in the postal group (54 of 101, 53%) (\(p = 0.35\)). The overall uptake rate was nevertheless significantly lower in the opportunistic group (28 of

<table>
<thead>
<tr>
<th>Sample size calculation</th>
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<tbody>
<tr>
<td>number per group</td>
</tr>
<tr>
<td>Age 16-19</td>
</tr>
<tr>
<td>Uptake rate of (\alpha = 0.05) with 90% power, assuming true difference in uptake rate to be 50% of that observed in feasibility study</td>
</tr>
<tr>
<td>Positive test rate of (\alpha = 0.05) with 90% power, assuming lower rate group to be 50% of higher rate group</td>
</tr>
</tbody>
</table>
The study was labour intensive and practice administrative. Qualitative results from staff interviews relevant to their practice. That they had found the training course informative and all had notified sexual partners. The practice nurses reported felt comfortable and reassured during the consultation and practice nurses returned completed questionnaires. All three from her obstetrician. Three of the five women seen by pregnant patient in the deprived practice sought treatment an appointment to see the practice nurse. Nevertheless, one All seven women who tested positive were requested to make an appointment to see the practice nurse. Women with positive test results. All seven women who tested positive were requested to make an appointment to see the practice nurse. Women with positive test results. It’s finding the time to be able to devote someone or several people to do what is required… we had almost everybody in one Saturday so it was useful just to really to discuss the various aspects as well but to also just go through the list and update because it takes you far longer when you’re being interrupted’’ (Administrator).

Difficulties were exacerbated during periods of short staffing.

Some problems related to specific screening strategies. For the postal group, test kits were bulky and staff had to deliver them to the local post office. This was especially problematic for the staff at the deprived practice, which had no local post office. There were anecdotal reports in this practice that test kits were tampered with and/or wrongly delivered:

“They don’t always deliver (the mail), they don’t always deliver very quickly or in the case of some of the kits they just deliver them straight back to the practice without any attempt to deliver them to the patients… We do have a lot of mail that goes missing and that is something that is probably not going to change’’ (Administrator).

One GP expressed concern that postal screening could create difficulties within the homes of recipients.

“The key concern was the mail shot going to someone, could be the daughter of strict parents or the wife of someone who is anxious about other relationships could cause a difficult situation for either of them’’ (GP).

GPs also reported problems when offering opportunistic screening. These included difficulties in changing the focus of the consultation to chlamydia screening; feeling that it was inappropriate (especially if the consultation involved a mental health problem) and concerns about the time required to raise the issue of chlamydia:

“As far as the clinical side I just find it a bit stressful – “Oh there’s another opportunity gone’ and you know I’ve a busy surgery, and I’ve got to talk about this completely different subject and sometimes it may be relevant but more often than not its not been relevant……and the girl has not been that keen’’ (GP).

The practice nurses were trained in management of confirmed chlamydial infection. They found the training had a broader value to them in their everyday practice and was beneficial.

### Table 3 Questionnaire returns and patient acceptability

<table>
<thead>
<tr>
<th></th>
<th>Postal</th>
<th>Opportunistic</th>
<th>Rural</th>
<th>Deprived</th>
<th>Affluent</th>
<th>&lt;20 years</th>
<th>20–24 years</th>
<th>25+ years</th>
<th>Total</th>
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<tbody>
<tr>
<td>Questionnaires returned</td>
<td>Number/Total</td>
<td>47/59</td>
<td>12/28</td>
<td>24/31</td>
<td>15/27</td>
<td>20/29</td>
<td>7/14</td>
<td>15/23</td>
<td>37/50</td>
</tr>
<tr>
<td>(negative result)</td>
<td>Number/Total</td>
<td>44/56</td>
<td>11/24</td>
<td>22/29</td>
<td>13/24</td>
<td>20/27</td>
<td>6/12</td>
<td>14/20</td>
<td>35/48</td>
</tr>
<tr>
<td>Questionnaires returned</td>
<td>Number/Total</td>
<td>3/3</td>
<td>1/4</td>
<td>2/2</td>
<td>2/3</td>
<td>0/2</td>
<td>1/2</td>
<td>1/3</td>
<td>2/4</td>
</tr>
<tr>
<td>(positive result)</td>
<td>Number</td>
<td>43</td>
<td>11</td>
<td>23</td>
<td>12</td>
<td>19</td>
<td>5</td>
<td>14</td>
<td>35</td>
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<tr>
<td>% who thought approach was appropriate</td>
<td>Number</td>
<td>91</td>
<td>92</td>
<td>96</td>
<td>80</td>
<td>95</td>
<td>71</td>
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<td>95</td>
</tr>
<tr>
<td>% who thought information supplied was adequate</td>
<td>Number</td>
<td>44</td>
<td>11</td>
<td>23</td>
<td>13</td>
<td>19</td>
<td>6</td>
<td>15</td>
<td>34</td>
</tr>
</tbody>
</table>

136, 21% compared with the postal group (59 of 124, 48%; p<0.0001) in this short study period.

No woman in the control group was offered chlamydia testing during the screening phase. Comparisons of the control group with the other two intervention groups were therefore not performed.

### Sample size calculation

Table 2 shows sample size calculations for a definitive trial of opportunistic compared with postal screening (in terms of uptake and positivity rates).

### Acceptability

Questionnaires were sent to all 87 women who submitted specimens. Table 3 summarises the responses.

Fourteen of the 25 respondents who were offered testing but did not submit samples (56%) either forgot about the request or lost the kit. Only two respondents (8%) stated that they had not yet had sexual intercourse. Fifteen (60%) considered the offer of testing appropriate, but seven (28%) considered it inappropriate. Some 56 of 59 (95%) respondents thought men should be offered screening.

### Women with positive test results

All seven women who tested positive were requested to make an appointment to see the practice nurse. Nevertheless, one woman in the rural practice attended her GP and one pregnant patient in the deprived practice sought treatment from her obstetrician. Three of the five women seen by practice nurses returned completed questionnaires. All three felt comfortable and reassured during the consultation and all had notified sexual partners. The practice nurses reported that they had found the training course informative and relevant to their practice.

### Qualitative results from staff interviews

The study was labour intensive and practice administrative staff reported problems with its management. Staff worked additional hours to ensure distribution of study materials and collation of responses:

**Key points**

- A randomised controlled trial comparing postal and opportunistic screening for chlamydial infection in general practice is feasible, although resource intensive.
- There may be problems with generalising from screening trials in which patients may opt out from the possibility of being offered screening.
were enthusiastic about taking on responsibilities for sexual
resulting from participation in the study. The practice nurses
become aware of the population risk profile, possibly
involving an initial pre-randomisation “opt out” approach
screening. The three LRECs permitted us to use a design
evaluating findings from the research project to the clinical
meant that participation was incomplete. It is difficult to
The requirement to obtain consent before randomisation
Strengths and limitations of the study
This finding suggests that these GPs, unlike others15 18 had
practice, associating the condition with a younger age group.
reported a tendency to target younger women in their routine
approaches to screening. Despite the high potential workload,
almost two fifths of practices approached were interested in
participation. Furthermore, the three participating practices
showed a high level of commitment despite staffing shortages. Training sessions gave staff an understanding of
the study but considerable support was required for the
project to run smoothly.
Uptake of screening was 48% of the target population with
a postal approach and 21% over four months with an
opportunist approach (38% and 19% respectively if the
number of women randomised is used as denominator). The
apparent superiority of postal screening in this broad comparison may obscure the fact that younger women,
who are at highest risk for chlamydial infection, may respond
better to opportunistic screening. In the interviews some GPs
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were enthusiastic about taking on responsibilities for sexual
health work, but, compared with the GPs, they had far fewer
consultations with younger women.

DISCUSSION
Summary of main findings
This feasibility study established that opportunities exist in
general practice to conduct clinical trials of differing
approaches to screening. Despite the high potential workload,
almost two fifths of practices approached were interested in
participation. Furthermore, the three participating practices
showed a high level of commitment despite staffing shortages. Training sessions gave staff an understanding of
the study but considerable support was required for the
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health work, but, compared with the GPs, they had far fewer
consultations with younger women.

Strengths and limitations of the study
The requirement to obtain consent before randomisation
meant that participation was incomplete. It is difficult to
evaluate findings from the research project to the clinical
situation in which all eligible patients would be offered
screening. The three LRECs permitted us to use a design
involving an initial pre-randomisation “opt out” approach
because randomisation to an offer of screening was con-
sidered a comparatively benign intervention. We were not
able to establish what proportion of women who opted out
did so because they had never been sexually active. In a
definitive study there could be a case for asking sexually
inactive women not to opt out for this reason, but to return
an empty sample container if offered a test. This approach
might nevertheless be considered by some as a form of
indirect behavioural surveillance.

The design involved individual rather than cluster rando-
misation. This approach significantly increases statistical
power, but it is more complicated for practices and runs the
risk of introducing contamination of the study groups. The
finding that none of the women in the usual care group was
offered screening suggests that contamination was probably
not an important problem. Nevertheless, awareness among
clinicians and patients that they were participating in a trial
may have artificially increased rates of offer and acceptance
of screening. Alternatively, a universal, rather than experi-
mental, opportunistic screening programme might be associ-
ated with a greater acceptability of testing and a higher
proportion of women being offered screening as health
professionals become more experienced. The fact that none of
the control group was tested might indicate a potential
adverse effect of a screening programme: a belief among
healthcare providers that screening of asymptomatic indivi-
duals is sufficient.

Our study was based on women because opportunistic
screening strategies have not been developed for the male
population. They generally have comparatively mild clinical
manifestations of chlamydia infection and are more likely
than women to be infrequent attenders (31% of men and 15% of
women aged 16–30 had not attended their GP in the past
year—Scottish Household Survey 1999/2000: authors’ own
analysis). This is not an argument against screening men.
Successful screening programmes will depend in part on
preventing re-infection by identifying and treating infected
men, but it is probable that postal approaches will yield
higher uptake rates among men.19

Although questionnaire responses generally supported the
offer of testing, the response rate among women who did not
return samples was low. Work in progress in Bristol and
Birmingham will help to elucidate the attitudes of women
depending on declining tests (Rona Campbell, personal communication, July 2003).

Policy implications

- The evidence base for chlamydia screening is not robust. Evaluation of screening strategies through randomised controlled trials is essential. Trials of
population screening are only meaningful if pre-
randomisation opt out rates are minimal. Significant numbers of women opted out in our study despite the
use of “opt out” (passive) consent.
- Cluster randomised trials of screening may be more
likely to succeed than trials with individual randomisa-
tion. We consider random assignment to an offer of
screening without consent and anonymised transmis-
sion of aggregate data from practices to researchers to
be ethically sound.
- Screening for genital Chlamydia trachomatis in
primary care is feasible, but potentially resource
intensive. If population based screening was to be
implemented, it is probable that optimal coverage
would be achieved with a combination of postal and
opportunistic screening.

Comparison with existing literature
The 48% uptake rate for postal tests obtained in our study is
in keeping with data obtained in other European stu-
dies.12 13 19 20 The 83% uptake of postal screening among
men and women aged 18–45 years in one practice21 was
achieved only after intensive follow up of non-responders
(John MacLeod, personal communication, April 2002).

We estimate that 30% of those offered opportunistic
screening would be tested over a one year period. This figure
lies below 49% screened in general practice in the Portsmouth
study but is close to 24% screened in the Wirral21 and 22% in
one practice in Yorkshire.22 It is possible that the high level of
population coverage in the Portsmouth study results partly
from payments to practices for each test conducted.

Although the numbers are small, the prevalence of
chlamydial infection among those tested in the opportunistic
group is higher than among the postal responders. This is not
surprising given that the clinicians seem to have targeted
women at higher risk. Our results parallel the higher
prevalence levels obtained in the Portsmouth/Wirral oppor-
tunistic screening pilots compared with those in the Bristol/
Birmingham postal studies.
Implications for future research
This was a very complex trial with a high level of involvement of practice staff in project management. In a larger trial, we recommend that identified practice staff members perform project work in protected (and reimbursed) time, rather than on an ad hoc basis. Increasing the number of clinical contacts between young women and practice nurses may improve recruitment and workload management. A cluster design, in which only one type of intervention would be offered by each practice, would simplify matters greatly and might give clearer results, but with loss of statistical power for a given sample size. A typical intra-cluster correlation coefficient of 0.1 for process variables in a trial of this type would inflate the necessary sample size approximately 21 times.

The impact of UK data protection and European human rights legislation on the design of this project was highly significant. Research ethics committees in the UK may apply the legislative framework more vigorously than committees in other European nations. In our study, research staff were not able to examine any non-anonymised data without informed “opt in” consent, so a great deal of the project management work had to be conducted by practice staff. The alternative, obtaining opt in consent to be offered screening, would reduce participation rates and greatly reduce the value of any future trial.

Similar considerations now apply to all evaluations of population screening in primary care, with a serious risk that health service policy on screening will be based on inadequate evidence. We believe that when new screening technologies are introduced, there is a strong case for the conduct of cluster randomised trials without individual patient consent to the offer of screening.

ACKNOWLEDGEMENTS
Thanks are due to Mrs Jean Taylor and Mrs Catherine McNeil who provided administrative support, and Mr Ian Howe in the Regional Virus Laboratory at Gartnavel Hospital, Glasgow. Dr Ahilya Noone of the Scottish Centre for Infection and Environmental Health participated in the early stages of the design of the project. Dr Bruce Armstrong, sexual health advisor at the Sandyford Initiative, Glasgow, helped in the design and delivery of the practice nurse training. We are grateful for the patience and determination of the staff in the three participating practices. Data from the Scottish Household Survey 1999/2000 were made available through the ESRC Data Archive, with the help of Mr Matt Sutton. The copyright holder, the original data producer, the relevant funding agencies, and the Data Archive bear no responsibility for their further analysis or interpretation. Finally, we would like to acknowledge our referees (Drs Cassell, Stephenson, and van Essen) for making suggestions that have helped us to improve the paper.

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Funding: this study was funded by the Scottish Executive Chief Scientist Office. Competing interests: none declared.

The study protocol received approval from the following ethics committees: Greater Glasgow Primary Care Trust, Lanarkshire Primary Care Trust, and Forth Valley Primary Care Trust.

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*J Epidemiol Community Health* 2005 59: 198-204
doi: 10.1136/jech.2004.021584

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