Primary care, infant mortality, and low birth weight in the states of the USA

L Shi, J Macinko, B Starfield, J Xu, J Regan, R Politzer, J Wulu

Study objective: The study tests the extent to which primary care physician supply (office based primary care physicians per 10 000 population) moderates the association between social inequalities and infant mortality and low birth weight throughout the 50 states of the USA.

Design: Pooled cross sectional, time series analysis of secondary data. Analyses controlled for state level education, unemployment, racial/ethnic composition, income inequality, and urban/rural differences. Contemporaneous and time lagged covariates were modelled.

Setting: Eleven years (1985–95) of data from 50 US states (final n = 549 because of one missing data point).

Main results: Primary care was negatively associated with infant mortality and low birth weight in all multivariate models (p<0.0001). The association was consistent in contemporaneous and time lagged models. Although income inequality was positively associated with low birth weight and infant mortality (p<0.0001), the association with infant mortality disappeared with the addition of sociodemographic covariates.

Conclusions: In US states, an increased supply of primary care practitioners—especially in areas with high levels of social disparities—is negatively associated with infant mortality and low birth weight.

There is considerable evidence of an association between social inequalities and health outcomes within the United States.1–5 In general, these studies have found that a greater gap in income distribution between the rich and poor in a given geographical area is associated with poorer population health in that area.

There are several competing theories as to why social inequalities should be related to health. Psychosocial theories hold that disparities in social standing (often measured by income or occupation) create stresses that can eventually damage a person’s health.6–9 Social capital explanations hold that individual and group level social relationships influence health status.10 11 Other investigators have suggested that geographical areas with greater social inequalities may suffer from systematic underinvestment in schooling, health care, and housing, and that these “neo-material” factors consequently lead to poorer health status among the disadvantaged.12–14 Finally, others have suggested that it is ultimately the political and policy context of a political unit that allows social and material disparities to develop and, to eventually the political and policy context of a political unit.

Our previous published studies showed that primary care might mitigate the adverse associations of social inequalities on health outcomes, independent of social inequalities. This is particularly relevant in the United States, where health inequalities among population groups continue to increase despite of national commitments to reduce them.15

METHODS

Data and measures

The first dependent variable, average state infant mortality rate (IMR), is expressed as the number of deaths of infants under 1 year of age per 1000 live births during the same year and was calculated using the Compressed Mortality Files.12

The other dependent variable, low birth weight (LBW) is defined as the percentage of all live births in which the infant weighed <2500 g and was calculated using data from the National Center for Health Statistics.25

Primary care is measured using the number of office based primary care physicians per 10,000 population in each state. The term “primary care physicians” refers to doctors of medicine working in family medicine, general practice, internal medicine, and paediatrics who were in active office based patient care.26 Although in the United States obstetrician-gynaecologists sometimes serve as women’s primary care providers, they are trained primarily as specialists, not as primary care providers. For this reason, they were not included in the primary care measure. Physician data were obtained from the American Medical Association annual publication, Physician Characteristics and Distribution in the United States.27

Abbreviations: LBW, low birth weight; IMR, infant mortality rate; LRT, likelihood ratio test
Income distribution was measured by the Gini coefficient, a commonly used indicator of income inequality wherein higher values indicate greater inequality in income distribution. The Gini coefficient was calculated using software developed by Ed Welniak (unpublished software, US Census Bureau, 1988) using data from the US Census Bureau. We did not construct other measures of income inequality because prior studies using similar data indicated that the choice of income distribution measure did not seem to affect results.32

Additional sociodemographic variables known to be associated with population health were included in the analyses as covariates. They included the proportion of each state’s population aged 25 that had completed at least 12 years of formal education (Education), the proportion of the state work force population currently unemployed (Unemployed), the proportion of state population whose race is identified as African-American (African-American), and the proportion of each state’s population that resides in urban rather than rural areas (Metro). These data were obtained from the Statistical Abstracts of the United States.27 Other covariates, such as income per capita, were not included in the model because of potential collinearity with the income inequality measure.28 Instead, socioeconomic status is represented in the model by levels of education.

All data were drawn from 1985 to 1995. This period represents the latest dates for which all data were available.

**Design**

This study was a longitudinal ecological analysis of the unmixed type—that is, our analyses correlated ecological variables with ecological outcomes.27 We avoided cross level bias by making no inferences about individuals from grouped data.28 The unit of analysis was each of the 50 US states for the 11 year period 1985–1995. One data point (Delaware in 1991) was dropped because of missing values (n = 549 instead of 550). Washington, DC was not included in the analysis because it is not a state. One advantage of using states as the unit of analysis is the lower likelihood of random fluctuations in mortality and other rates at levels of smaller geographical aggregation. Using state level aggregate data also had the advantage of attenuating the probable “cross over” association encountered when patients travel outside their local geographical area to obtain health care.11

**Analysis**

We analysed the intercorrelations between primary care, income inequality, sociodemographic covariates, and mortality using Pearson’s correlation coefficients. For longitudinal analyses, we used a pooled, cross sectional, time series design. This design analyses a cross section of units of observation (states) over a span of time (years). Ordinary least squares (OLS) regression will not yield proper estimates on data containing repeated measures. For this reason, parameters were estimated using the SAS PROC MIXED procedure.33 This approach uses a maximum likelihood estimator to account for correlation among error terms due to the presence of repeated measures (each year) on the same unit (state). Moreover, this procedure provides estimates for both fixed and time varying covariates, and models not only the means of the data but also the variances and covariances.33 In the model, all outcomes were treated as continuous. States were treated as fixed effects, thus allowing the model to control for comparatively stable inter-state differences, such as policy context and historical differences in technology, resources, and other determinants of population health.34

In the regression analyses a set of nested models was designed to examine the extent to which primary care moderates the association between income inequality and infant mortality and LBW. In bivariate analyses (model 1), only income inequality was used as a predictor of the outcome. A second model (model 2) examines the same relation while adjusting for primary care. A third and final model (model 3) examines income inequality, primary care, and sociodemographic characteristics of the state. Regression coefficients are presented along with tests of significance. Changes in the regression coefficients of income inequality across models reflect the extent of primary care and sociodemographic characteristics that moderate the association between income inequality and infant mortality. Nested models are compared (that is, model 3 versus model 2; model 2 versus model 1) by calculating the likelihood ratio test (LRT). This allows us to determine whether the additional covariates in each model are significantly different from zero.35 An interaction term (primary care×Gini) is used to examine if primary care influences health at different levels of income inequality.

As the associations of the predictors on health outcomes are expected to materialise over time, we also conducted longitudinal analyses with time lags. A total of three time lag models (one, three, and five years) were modelled. A one year lag model shows the outcomes as a function of the independent measures of the previous year. A three year lag model shows the outcomes as a function of the independent measures from three years before, and likewise. The time lag models are meant to portray the relations between the measures and identify when the most significant latent association occurs.

**RESULTS**

Between 1985 and 1995, there was a steady increase in LBW in the United States, from about 6.6% to 7.1% of live births (fig 1). In the same period, there was a pronounced decline in infant mortality. The mean state infant mortality rate dropped about 29%, from nearly 10.5 in 1985 to about 7.5 per 1000 in 1995 (fig 1). Primary care physicians increased from 5.02 to 6.04 per 10 000 population (fig 1). Income inequality within states fluctuated during the period with an overall worsening trend; the mean of the Gini coefficient among states increased from 0.41 to 0.43 during the period.

Table 1 presents the correlation matrix (1985–1995) among dependent and independent variables used in this study. The table shows that primary care was negatively and significantly associated with both infant mortality (p<0.05) and LBW (p<0.01). Income inequality was positively associated with both the outcomes.

**Figure 1** Infant mortality rate, low birth weight, and primary care physician supply trends, 1985–1995.
with both infant mortality and LBW, but because of the fluctuations in Gini over time, these relations were not statistically significant for each year. Among sociodemographic indicators, the percentage of the state population identified as African-American was highly correlated with higher state levels of LBW (p<0.01), while the proportion of the state population with at least a high school education was negatively associated with both outcomes (p<0.01).

Table 2 presents results of the pooled, cross sectional, time series regression model examining predictors of state level LBW. In bivariate analyses (model 1), contemporaneous measures of income inequality were significantly associated with higher state levels of LBW (p<0.0001). In analyses additionally adjusting for primary care (model 2), primary care was significantly associated with lower LBW (p<0.0001) and income inequality remained the same as in bivariate analyses. With the addition of sociodemographic covariates (model 3), primary care decreased in magnitude by one half but remained a significant (p<0.0001) predictor of lower LBW. In this model, the coefficient for income inequality decreased to about one sixth of its value in the bivariate analyses, but also remained significant (p<0.01). The proportion of the state's population that is African-American was associated with higher LBW (p<0.0001), while the percentage urban and the percentage with at least a high school education were both associated with lower LBW (p<0.0001 and p<0.001, respectively).

The overall pattern seen in the contemporaneous model persisted in the time lagged models. Primary care was a statistically significant predictor of lower LBW, and the magnitude of this relation was stable over time. The association with race/ethnicity, urban residence and education also persisted in the time lagged models. Income inequality was an exception. The magnitude of

Table 1 Pearson correlation matrix of state level infant mortality, low birth weight, income inequality, primary care, and sociodemographic indicators, 1985–1995†

<table>
<thead>
<tr>
<th></th>
<th>Low birth weight</th>
<th>Infant mortality rate</th>
<th>Gini</th>
<th>Primary care</th>
<th>African-American</th>
<th>Metro</th>
<th>Unemployed</th>
<th>Education</th>
</tr>
</thead>
<tbody>
<tr>
<td>n = 549</td>
<td>1.00</td>
<td>0.56 to 0.77**</td>
<td>0.32 to 0.65</td>
<td>0.32 to 0.65</td>
<td>0.32 to 0.65</td>
<td>0.32 to 0.65</td>
<td>0.32 to 0.65</td>
<td>0.32 to 0.65</td>
</tr>
</tbody>
</table>

†Range (lowest to highest) of correlation from 1985 to 1995. The correlation was considered statistically significant only if every year’s correlation coefficient was statistically significant, otherwise, no asterisk labelled. The bottom row is the range of means for each predictor from 1985 to 1995. *p<0.05, **p<0.01. Sources, see references.12-25 37

Table 2 Contemporary and lagged pooled, cross sectional time series analyses of predicted low birth weight births as percentage of all births, US states, 1985–1995

<table>
<thead>
<tr>
<th>Model</th>
<th>Same year†</th>
<th>One year lag†</th>
<th>Three year lag†</th>
<th>Five year lag†</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1 2 3</td>
<td>1 2 3</td>
<td>1 2 3</td>
<td>1 2 3</td>
</tr>
<tr>
<td></td>
<td>Intercept</td>
<td>Gini coefficient</td>
<td>Primary care supply</td>
<td>% African-American</td>
</tr>
<tr>
<td></td>
<td>−0.8 (0.6)</td>
<td>18.3*** (3.0)</td>
<td>−0.4*** (0.05)</td>
<td>8.9*** (0.4)</td>
</tr>
<tr>
<td></td>
<td>1.3 (0.7)</td>
<td>18.2*** (3.0)</td>
<td>−0.2*** (0.03)</td>
<td>9.1*** (0.4)</td>
</tr>
<tr>
<td></td>
<td>6.3 (0.7)</td>
<td>18.4*** (3.0)</td>
<td>−0.2*** (0.1)</td>
<td>0.0 (0.1)</td>
</tr>
<tr>
<td></td>
<td>0.8 (0.8)</td>
<td>21.0 (1.6)</td>
<td>−0.4*** (0.1)</td>
<td>9.1*** (0.5)</td>
</tr>
<tr>
<td></td>
<td>0.7 (0.8)</td>
<td>0.24 (0.16)</td>
<td>0.0 (0.1)</td>
<td>0.0 (0.1)</td>
</tr>
<tr>
<td></td>
<td>0.8 (0.9)</td>
<td>0.21 (0.26)</td>
<td>0.0 (0.1)</td>
<td>0.0 (0.1)</td>
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<tr>
<td></td>
<td>6.5 (0.8)</td>
<td>18.8*** (2.2)</td>
<td>−0.4*** (0.1)</td>
<td>9.1*** (0.5)</td>
</tr>
<tr>
<td></td>
<td>0.8 (0.9)</td>
<td>18.2*** (2.2)</td>
<td>−0.2*** (0.1)</td>
<td>0.0 (0.1)</td>
</tr>
<tr>
<td></td>
<td>0.7 (0.8)</td>
<td>18.4*** (2.2)</td>
<td>−0.2*** (0.1)</td>
<td>0.0 (0.1)</td>
</tr>
<tr>
<td></td>
<td>0.9 (0.8)</td>
<td>18.8*** (2.2)</td>
<td>−0.4*** (0.1)</td>
<td>0.0 (0.1)</td>
</tr>
<tr>
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<td>0.0 (0.1)</td>
</tr>
</tbody>
</table>

*0.01<p<0.05; **0.001<p<0.01; ***0.0001<p<0.001; ****p<0.0001. †Estimated coefficient and standard errors (in parentheses) were provided from mixed models. Time lagged models predict contemporary dependent variables using one, three, or five year lagged covariates. ‡Primary care physicians per 10000 population. §Percentage state population that is African-American. ¶Percentage state population in metropolitan areas. ††Percentage of state population unemployed. ‡‡Percentage of state population with high school education or above. ΔΔdf, difference of degree of freedom for two models (1 + 2; 2 + 3). **χ², difference of χ² for two models (1 + 2; 2 + 3). ***LR (likelihood ratio test); (1 of χ² for two models)/(1 of all for two models). Sources, see references.12-25 37
association between income inequality and LBW was reduced in all time lagged models, except the five year time lag. The relation was statistically significant only in the five year lagged models, where the association with income inequality was similar to that of the contemporaneous model.

Table 3 presents results of the pooled, cross sectional, time series regression model examining predictors of state level infant mortality rates. In bivariate analyses (model 1), contemporaneous measures of income inequality were significantly associated with higher state levels of infant mortality (p<0.0001). In analyses controlling for primary care (model 2), primary care was significantly associated with lower infant mortality (p<0.0001). Income inequality remained statistically significant, but its magnitude decreased from that in the bivariate analysis. In the full analyses (model 3), primary care decreased in magnitude by more than half but remained a significant predictor of lower rates of infant mortality (p<0.0001). The coefficient for income inequality decreased to about one eighteenth of its value in the bivariate model, and was no longer significant (p>0.05). The percentage of the state’s population that is African-American was positively associated with infant mortality (p<0.0001), but the percentage urban and the percentage with at least a high school education were not statistically significant predictors.

In the case of infant mortality the time lagged models paint a different picture than do the contemporaneous ones. The magnitude of the primary care regression coefficient increased with each lagged year, peaked at the three year lag, and gradually declined to a value below that of the contemporaneous model. Primary care was statistically significant each year. In the model controlling for primary care, the magnitude of the income inequality regression coefficient fluctuates over time, but was statistically significant in each lagged period. With the addition of social variables, (model 3) the magnitude of the income inequality coefficient was greatly reduced, and was not statistically significant. The association with race/ethnicity and urban residence were similar in magnitude and statistical significance in both contemporaneous and time lagged models. Unemployment and education covariates only became significant (p<0.05) after a three year lag. No significant interaction was detected between primary care and income inequality.

**DISCUSSION**

This study provides additional evidence of a negative ecological level association between primary care and infant mortality and LBW—even after controlling for income inequality and sociodemographic covariates. In multivariate models, an increase of one primary care doctor per 10 000 population was associated, on average, with a 2.5% reduction in infant mortality and a 3.2% reduction in LBW. Results of time lagged models show that in addition to a contemporaneous relation, primary care also had an association with birth outcomes over time. All else being equal, we would expect primary care to be associated with reduced infant mortality, primarily through better maternal health and lower post-neonatal mortality. The data observed here support this hypothesis. Infant mortality in the United States has declined more than 45% since 1980.\textsuperscript{36} Postulated reasons for this decline include the widespread adoption of technologies that have prevented fetal mortality, resulting in increased LBW but reducing neonatal mortality that ultimately lowered overall mortality.

![Table 3](image)

![Key points](key_points)

**Key points**

- In US states, contemporaneous and time lagged measures of primary care were associated with lower infant mortality and fewer low birthweight births.
- In multivariate, pooled, cross sectional, time series analyses, an increase of one primary care doctor per 10 000 population was associated with a 2.5% reduction in infant mortality and a 3.2% reduction in low birth weight
- Income inequality was positively associated with low birth weight and infant mortality, but its effect on infant mortality disappeared with the addition of primary care and other covariates.

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IMR.\textsuperscript{13} Reductions in sudden infant death syndrome deaths through parental education efforts also seem to have contributed to lower IMR beginning in the 1990s.\textsuperscript{14} The bulk of remaining infant mortality appears among extremely premature and very LBW babies—both of which are increasingly concentrated within groups of low socioeconomic position.\textsuperscript{17} Despite this decline, absolute levels of infant mortality are higher in the United States than in most other OECD countries, and disparities between ethnic and socioeconomic groups in the United States are growing larger.\textsuperscript{21}

There are several posited causes for the higher than expected rates of infant mortality in the United States, but one of the most relevant to this discussion is poor access to appropriate health care. The United States is the only industrialised country that does not provide financial coverage for health care to all its citizens. Although other countries with universal health systems, such as the United Kingdom, also have significant disparities in infant mortality among different population groups, absolute levels are higher in the United States than in similar countries. Despite increased access to prenatal care for most women in the United States, there has not been a concomitant decrease in levels of LBW.\textsuperscript{37} Nevertheless, international studies have shown that health systems providing universal access to continuous and comprehensive primary care services throughout the lifespan can result in reduced overall rates of mortality for infants as well as adults.\textsuperscript{38}

However, when examining the determinants of infant mortality it is important to independently assess its two components: neonatal (deaths within the first 28 days) and post-neonatal mortality (deaths after 28 days and before 1 year). The principal causes of post-neonatal deaths in the US have been infant infections, sudden infant death syndrome, unintentional injuries, and factors associated with poverty. Neonatal mortality has been determined primarily by processes associated with birth, including congenital abnormalities, difficulties during delivery, and LBW.\textsuperscript{37} Both have declined precipitously in the United States; about two thirds of infant mortality is now attributable to neonatal mortality associated with low and very LBW, while post-neonatal mortality is responsible for the remaining third.\textsuperscript{39}

There are several mechanisms through which primary care may influence birth outcomes. Firstly, primary care has been associated in the US and in international studies with lower post-neonatal mortality rates.\textsuperscript{40} The main mechanisms for this association seems to be a combination of improved maternal health and better management of conditions affecting infants in the post-neonatal period, including identification and treatment of infections, teaching mothers about safe infant sleeping positions (thus reducing the potential for sudden infant death syndrome), and reduction of potential injuries through increased use of car safety seats and provision of household safety information.\textsuperscript{41} Of particular note is the effectiveness of “back to sleep” campaigns in lowering infant mortality attributable to injuries. Although not all such health promotion efforts take place in primary care, Willinger \textit{et al}\textsuperscript{42} report that in multivariate models, physician recommendation to place infants in a supine position for sleep was the strongest predictor of infant sleep position (OR 2.38–4.76). Additional risk factors for sudden infant death syndrome that might be influenced (though not exclusively so) by primary care include LBW, prenatal smoke exposure, and late initiation of prenatal care.\textsuperscript{43}

Secondly, primary care is responsible for dealing with a range of maternal health problems that are associated with higher rates of infant mortality, including smoking, alcoholism, drug use, poor weight gain during pregnancy, sexually transmitted diseases, and poor nutrition. There is evidence that interactions among these risk factors greatly increase the risk of LBW.\textsuperscript{44} Indeed, there is growing awareness that “when care for women is viewed as an integrated continuum approach to health, rather than as a series of episodic events, higher levels of women’s wellness will be achieved. This approach is likely to result in healthier women, pregnancies, and offspring”.\textsuperscript{45} The time lagged associations of primary care on infant mortality are consistent with a longer term association through a mechanism such as improved maternal health. Moreover, our study found an association between primary care and LBW, thus supporting the link between primary care, maternal health, and birth outcomes. In international comparisons, better primary care is also associated with less LBW.\textsuperscript{46}

Based on the results of this study and previous research it seems probable that primary care may act both through specific mechanisms directed towards infants (education efforts to promote proper infant sleeping position and use of child safety seats) and through a less direct route; primary’s care’s continuous, comprehensive, person focused care over the lifespan of women.\textsuperscript{47} It is this continuous and comprehensive care that we hypothesise might counteract some of the negative health burden associated with living in an area with high social inequalities, thus interacting with accumulated disadvantage and its implications for health.

Regarding a link between birth outcomes and social inequalities, there is evidence that work related stress and physical exertion on the job may both lead to pre-term deliveries.\textsuperscript{48} Similar types of stress have been hypothesised to result from unfavourable social comparisons caused by income and other social disparities within communities.\textsuperscript{49} Thus, it is possible that social inequalities may contribute to infant mortality through both material (poverty, differential access to services) and psychosocial (stress) pathways. The evidence presented here suggests that primary care may act to mitigate at least some of the negative impact of social inequalities on health by lowering the chances of LBW and improving the odds of infant survival during the first year of life—despite the existence of social inequalities.

As a sensitivity test, we tested the interaction between primary care and income inequality in the full multivariate models for both health outcomes. The results (not shown) indicate that the association of primary care with infant mortality does vary with increasing levels of income inequality. That is, all else being equal, an increase in primary care resources would have the largest impact in an area with higher rather than lower levels of social inequalities. This is consistent with our prior research showing that the potential improvement in health attributable to increased primary care varied with a metropolitan area’s level of income inequality. Controlling for other factors, people living in areas with highly unequal income distributions were 33% more likely to report poor or fair health if they lived in an area with lower rather than higher primary care resources.\textsuperscript{49}

Some authors have posited that the observed relation between income inequalities and health is largely explained by differences between health outcomes among African-American and white populations in the United States.\textsuperscript{50} There

**Policy implications**

This study adds to mounting evidence that increasing primary care services represents one strategy to mitigate some of the negative impact of social inequalities on population health.
is, in fact, considerable evidence of a gap in infant mortality between babies born to African-American compared with white mothers. Among the highest socioeconomic groups, the infant mortality rate for African-American was more than twice that of the white population, but this gap narrows significantly when comparing the poorest white and African-American mothers. There are several explanations for this finding. Firstly, African-American women are less likely than white women to use primary care and prenatal care, even among populations with similar socioeconomic and health status of the population. Secondly, even when they do receive it, African-American and other women of colour are more likely to receive poorer quality care than that received by their white counterparts. Thirdly, our analyses show that the percentage of the population in each state that is African-American is a powerful, statistically significant predictor of higher infant mortality and LBW. However, when we controlled for the percentage of population identified as African-American, average levels of education, unemployment rates, and urbanisation, income inequality continued to exert a negative impact on LBW. Some studies have postulated that this additional gap between white and African-American birth outcomes may be attributable to underlying social disadvantage. If we view wide gaps in income distribution as an indicator of social inequality, then this observation is consistent with our results that show a significant impact of income inequality on birth outcomes, even while controlling for other sociodemographic characteristics of the population.

In interpreting the results of this study, several limitations require consideration. Because the study design is ecological, we cannot say that people who suffer most from ill health because of income inequality would gain the most from improved primary care. However, conditions leading to poor maternal health such as smoking and poor nutritional status, have also been shown to be more prevalent in areas with higher income inequality. Primary care may be able to ameliorate some of the ultimate consequences of income inequalities at the population level by contributing to lower aggregate levels of risk factors before pregnancy, by improving access to prenatal care and early diagnosis activities, and developing systems to coordinate care. It is also possible that primary care is serving as an indicator of another latent explanatory variable. In our previous studies that used different health measures, the relationship between infant mortality and LBW and income inequality was not changed by the presence of the regional variable. For both outcomes, regional variables (West and Midwest) were found to be statistically significant and their inclusion in the full model with all other covariates resulted in the Gini measure losing statistical significance. However, the association between primary care and health outcomes was not changed by the presence of the regional variable. This test suggests that at least part of the negative association between income inequality and birth outcomes might be attributable to variation at the regional rather than the state level.

Finally, primary care physician availability may be an inadequate proxy for receipt of good primary care. Ultimately, we would like better information on the structural characteristics and practice features of primary care. Unfortunately, there are no data that make it possible to adequately characterise receipt of good primary care (as distinguished from receipt of ambulatory care services, which also include specialty care) at the state level. Other healthcare providers, such as osteopathic doctors, nurse practitioners, and physician assistants also practice primary care but were not captured. For these reasons, it is probable that our analysis underestimates the overall contribution of primary care.

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REFERENCES
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